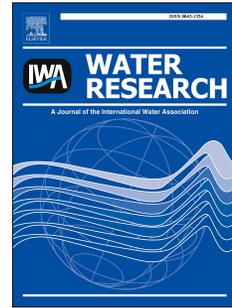


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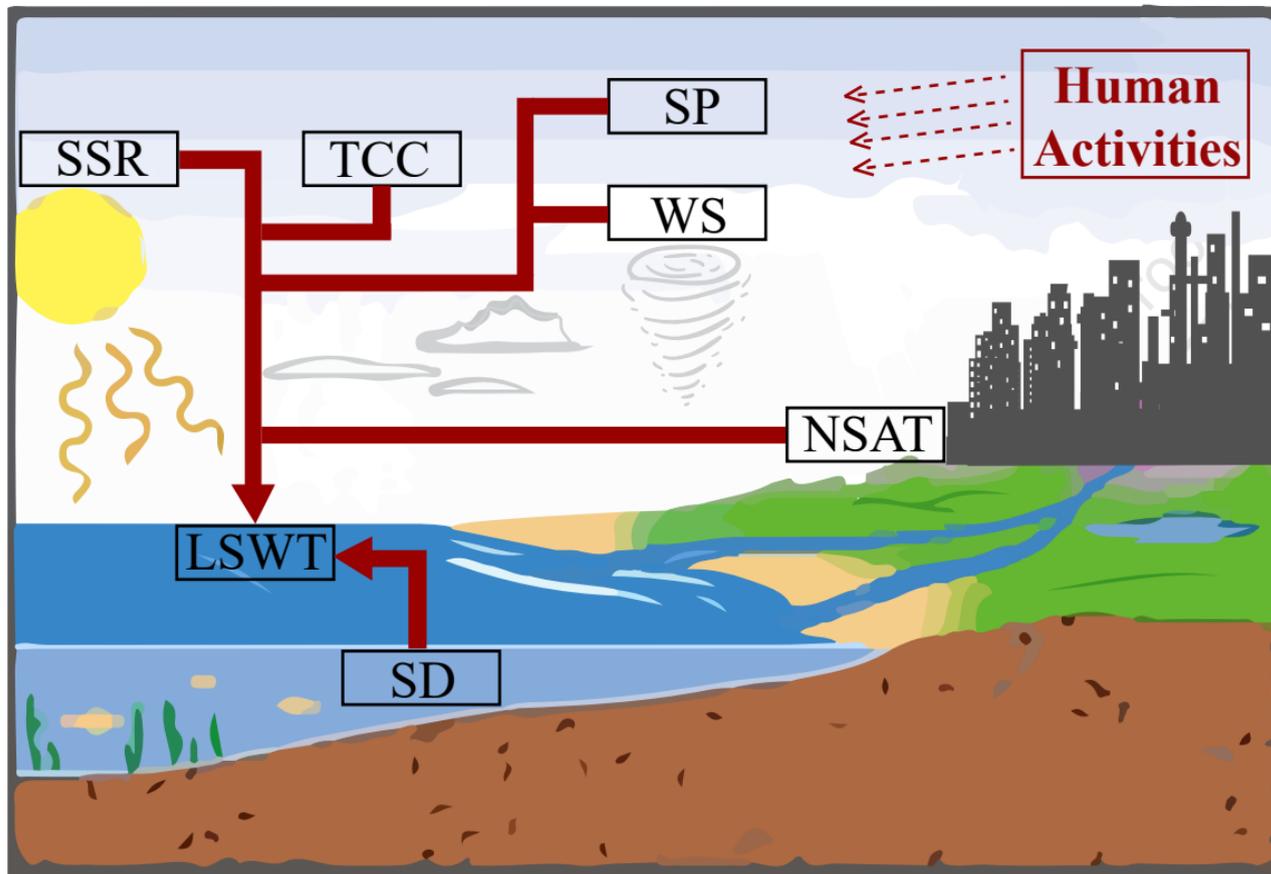
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## Contribution Rate

### Different Types

Urban Lake



Semi-urban Lake



Natural Lake



### Different Morphometry

Dianchi & Erhai Lake



Fuxian Lake



Other lakes



SP

SSR

NSAT

TCC

WS

SD

Others

LSWT-day

LSWT-night

# 1 Analysis on Driving Factors of Lake Surface Water Temperature for 2 Major Lakes in Yunnan-Guizhou Plateau

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10 **Abstract:** Lake surface water temperature (LSWT) is an important factor in lake ecological environments. It has  
11 been observed that LSWT have followed an upward trend in the last half century, which has had serious impacts on  
12 regional biodiversity and climate. It is important to understand the main reason for this phenomenon in order to have  
13 a basis for controlling and improving the regional ecological environment. In this study, the contribution rates of near  
14 surface air temperature (NSAT), surface pressure (SP), surface solar radiation (SSR), total cloud cover (TCC), wind  
15 speed (WS) and Secchi depth (SD) to LSWT of 11 naturally formed lakes in the Yunnan-Guizhou Plateau are  
16 quantified. The characteristics of and relationships between the various factors and LSWT in lakes of different types  
17 and attributes are revealed. The results show that: (1) from 2001 to 2018, most lakes were warming; the change rate  
18 of LSWT-day was higher than that of LSWT-night. The mean comprehensive warming rate (MCWR) of LSWT-day  
19 was 0.42 °C/decade, and the mean comprehensive change rate (MCCR) was 0.31 °C/decade; the MCWR of  
20 LSWT-night was 0.19 °C/decade, and the MCCR was 0.01 °C/decade. NSAT and SSR were most strongly correlated  
21 with LSWT-day/night. There were no large seasonal differences in the correlation between NSAT and LSWT-day,  
22 while seasonal differences in the correlations between NSAT with LSWT-night and SSR with LSWT-day/night  
23 were observed. (2) NSAT and SSR were the most important factors affecting LSWT-day/night changes, with  
24 contribution rates of 30.24% and 44.34%, respectively. LSWT-day was more affected by SP and SSR in small,

25 shallow, and low-storage lakes. For larger lakes, LSWT-day was more affected by WS, while LSWT-night was  
26 greatly affected by TCC. Urban and semi-urban lakes were more affected by SSR and NSAT; for natural lakes, the  
27 decreasing SD affected the increases in LSWT, which indirectly reflects the impact of human activities.  
28 LSWT-day/night responded differently to different morphological characteristics of the lakes and different  
29 intensities of human activity.

30 **Keywords:** Lake surface water temperature; Plateau lake; Yunnan Guizhou Plateau; Driving factor; Contribution  
31 rate

## 32 **1 Introduction**

33 Lakes are important ecological resources. The ecological environment of lake is not only associated with the  
34 quality of the regional ecological environment but also the sustainable development of cities (Dokulil, 2014; Zhang  
35 et al., 2015). It can also reflect the status of the regional environment (Dokulil., 2014). Water temperature is a basic  
36 physical property and an important environmental condition. It affects the metabolism of organisms living in the lake  
37 as well as the decomposition of organic materials, and determines the primary productivity of lakes (Sharma et al.,  
38 2007). Lake surface water temperature (LSWT) can directly reflect the material and energy exchange processes of  
39 the water-land-atmosphere system, and can also serve as an indicator of climate change and human activities (Yang  
40 et al., 2019; He et al., 2019; Weber et al., 2018; O'Reilly, 2015; Zhang et al., 2016). Changes in the LSWT would  
41 have a dynamic and complex fatal impact on the physical, biological, and chemical processes occurring in the lake  
42 ecological environment (Adrian et al., 2009; O'Reilly et al., 2015). In the past 30 years, the LSWTs of most lakes  
43 around the world have risen rapidly at an average rate of 0.34 °C/decade, which could lead to ecological problems  
44 such as the prolongation of the cyanobacteria suitable growth period and the aggravation of eutrophication,

45 environmental problems such as the prolongation of the lake thermal stratification period, the increase of  
46 thermocline depth and strength, and hypoxia at the bottom of lakes with increased(O'Reilly., 2015).

47 The rise in LSWT caused by climate change is a large-scale and long-term effect, which has been confirmed by  
48 many studies conducted around the world (O'Reilly et al., 2003; O'Reilly et al., 2015). However, some serious  
49 ecological environmental problems associated with lakes have been driven by short-term environmental conditions.  
50 Missaghi et al. (2017) found that the Minnetoka LSWT increased up to 4 °C during the ice-free seasons, resulting in  
51 a significant reduction in the size of the freshwater fish habitat. O'Reilly et al. (2003) showed that the Tanganyika  
52 LSWT had been increasing at a rate of 0.1 °C/decade since 1913 with climate warming and regional wind speed  
53 reduction. This warming had also affected the stability of the lake, as a result of which, the primary productivity may  
54 have decreased by about 20%, implying a roughly 30% decrease in fish yields. At the same time, lake warming  
55 would lead to an increase in the frequency of cyanobacteria bloom outbreaks by 20% (O'Reilly et al., 2015). Sharma  
56 et al. (2015) constructed a dataset of climate drivers (near surface air temperature, surface solar radiation, cloud  
57 cover) and lake morphology parameters (longitude, latitude, altitude, lake area, etc.) that affected LSWT changes in  
58 291 lakes worldwide (Sharma et al., 2015). Based on this dataset, O'Reilly et al. (2015) demonstrated that the  
59 regional near-surface air temperature and geomorphology had a greater impact on LSWT than other factors. Schmid  
60 & Koster (2016) showed that increases in near-surface air temperature and surface solar radiation were the main  
61 reasons for the increase in LSWT in spring and summer, with contribution rates of 60% and 40%, respectively. In  
62 addition to near-surface air temperature and surface solar radiation, several other factors have also been shown to  
63 affect the LSWT (Schmid & Koster., 2016). Increased wind speed tended to decrease the LSWT due to increased  
64 evaporation (Tanentzap et al., 2008; Valerio et al., 2015; Woolway et al., 2018). Secchi depth can be used to  
65 calculate the light attenuation, and stronger light attenuation was found to cause the LSWT to rise in spring and  
66 summer, but the shallower thermocline caused the LSWT to fall faster in autumn (Heiskanen et al., 2015; Hocking &

67 Straskraba., 1999; Rinke et al., 2010). In particular, LSWT values in daytime or nighttime showed different warming  
68 characteristics, and need to be considered separately, especially in small and shallow lakes (Wan et al., 2017;  
69 Woolway et al., 2016).

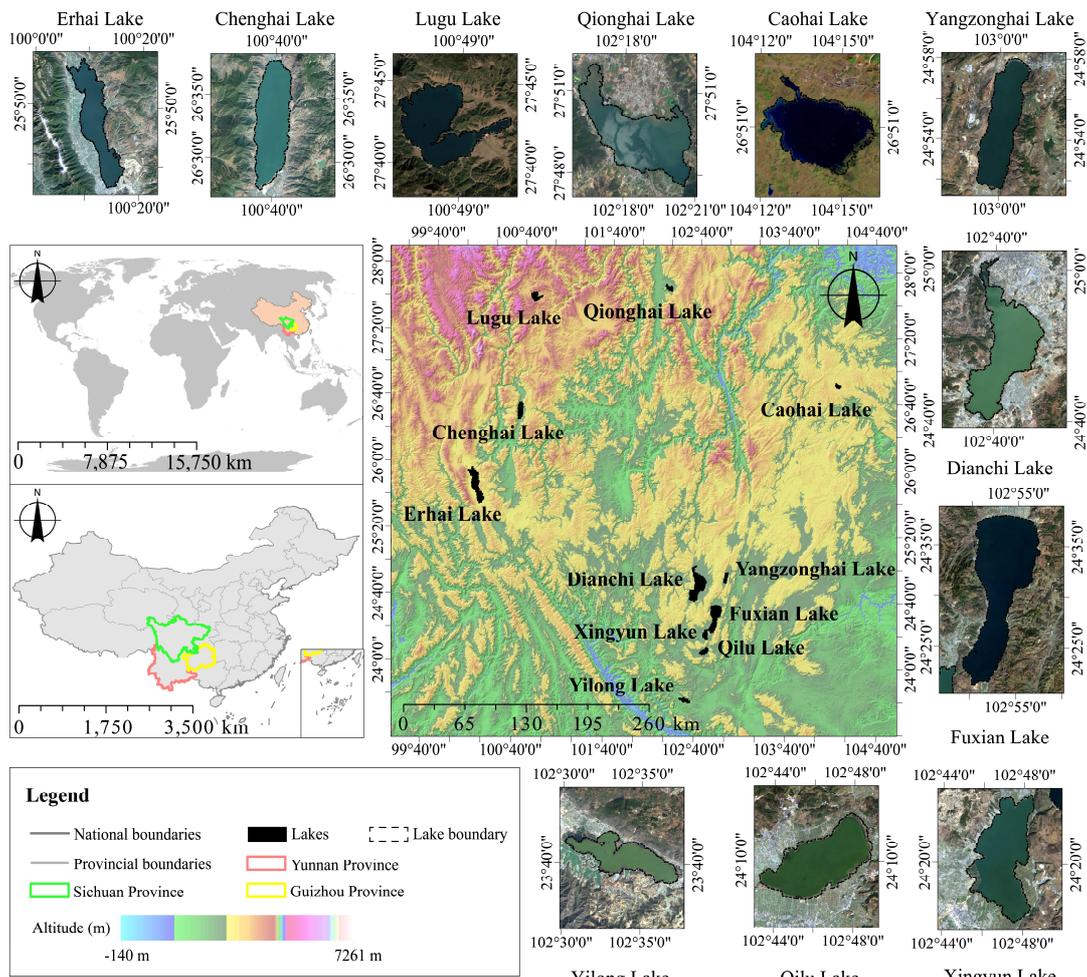
70 To sum up, the observation that LSWTs are gradually rising has become a consensus in the academic  
71 community. Climate warming was the main factor leading to increasing LSWTs, but the impact of differences in  
72 spatial heterogeneity on LSWT is also worthy of in-depth study. Therefore, based on previous research (Yang et al.,  
73 2016, 2017, 2018, 2019), the 11 naturally formed lakes in the Yunnan Guizhou Plateau were selected for study, the  
74 LSWT change characteristics from January 2001 to December 2018 were analyzed, the contribution rates of six  
75 factors (near surface air temperature, surface solar radiation, etc.) were quantified, and the characteristics and the  
76 relationships of those six factors to different lake attributes and lake types are discussed. This work provides  
77 methodological reference and theoretical support for the analysis of the spatial heterogeneity of regional LSWT  
78 increases.

## 79 **2 Data and methods**

### 80 **2.1 Study area**

81 The Yunnan-Guizhou Plateau is one of the most typical karst landforms in the world. The 11 naturally formed  
82 plateau lakes support abundant natural resources, diverse ethnic cultures, and unique ecological environments in the  
83 Yunnan-Guizhou Plateau. The differences in lake depth, eutrophication, water quality, and land use types in the  
84 watershed can provide abundant research samples. These lakes reserve nearly 5% of the freshwater resources of  
85 China. They all have a surface area of more than 25 km<sup>2</sup>, including nine lakes in Yunnan Province (Dianchi, Erhai,  
86 Fuxian, Chenghai, Lugu, Yilong, Qilu, Xingyun, and Yangzonghai Lake), 1 in Guizhou Province (Caohai Lake), and  
87 1 in Sichuan Province (Qionghai Lake). The names and major characteristics of these lakes are shown in Table A1

88 and Table A2, respectively, and their geographical locations are shown in Fig. 1. Among these lakes, Fuxian and  
 89 Lugu Lakes are the second and third deepest freshwater lakes in China, while Dianchi and Erhai Lakes are the first  
 90 and second largest freshwater lakes in Yunnan Province, respectively. Chenghai Lake is the second largest  
 91 freshwater lake in western Yunnan Province, and Qionghai Lake is the second largest freshwater lake in Sichuan  
 92 Province. Dianchi, Erhai and Fuxian Lakes each has a surface area of more than 200 km<sup>2</sup>, while the other lakes have  
 93 an area of less than 80 km<sup>2</sup> each. From 2005 to 2018, the eutrophication status of Dianchi Lake was hyper eutropher,  
 94 Fuxian and Lugu Lakes were oligotropher, 3 lakes (Yilong, Qilu and Xingyun Lake) were middle eutropher, and the  
 95 other 5 lakes were mesotropher. The annual mean of LSWT-day of 4 lakes (Chenghai, Lugu, Yilong, and Qionghai  
 96 Lakes) was higher than 20 °C, while that of LSWT-night of 2 lakes (Lugu and Caohai Lakes) was lower than 10 °C  
 97 (Table A2).



98

99 **Fig. 1** The location of study area.

## 100 **2.2 Data sources**

101 This study collected data, including lake surface water temperature (LSWT), near surface air temperature  
 102 (NSAT), surface pressure (SP), surface solar radiation (SSR), total cloud cover (TCC), wind speed (WS), and Secchi  
 103 depth (SD) from January 1, 2001 to December 31, 2018. Specific descriptions of the data are presented in Table A3.

104 For this data set, LSWT values were extracted from MOD11A2 remote-sensing images, which were 8-day and  
 105 1-km resolution MODIS/Terra LST level 3 synthetic products, obtained by the NASA Earth Observation System  
 106 Data and Information System (EOSDIS). The Modis Reprojection Tool (MRT) resampled the data into the GeoTiff  
 107 format, re-projected, created mosaics, and replaced the cloud-affected cell values with Null (Wan et al., 2017). The  
 108 time distribution of missing values for remote sensing images is shown in Fig. A1. Missing pixels were filled by  
 109 linear interpolation, and the average bias was found to be 1.21  $\square$  (RMSE = 2.21,  $R^2 = 0.82$ ). Secchi depth was  
 110 derived from MOD09GA remote sensing images, which were daily and 500-m-resolution synthetic products with  
 111 MODIS/Terra's surface reflectance level-3. A long short-term memory (LSTM) network was used to derive these  
 112 values with a high accuracy (Bias = 0.21 m, RMSE = 0.14, MAE = 0.11), and the method used for this is described  
 113 detail in Appendix B. The data for near-surface air temperature (Bias = 1.21  $\square$ ), surface pressure (Bias = 131.16 Pa),  
 114 surface solar radiation (Bias = 48.28 W/m<sup>2</sup>), total cloud cover (Bias = 9.32%), and wind speed (Bias = 1.91 m/s) were  
 115 obtained from the ERA-Interim dataset from the European Centre for Medium-Range Weather Forecasts (ECMWF),  
 116 and wind speed values were calculated from the  $u$  and  $v$  wind speed components (10-meter u/v-wind component), as  
 117 shown in Eq. (1). Specifically,  $WS_i$  is the wind speed on the  $i^{\text{th}}$  day, while  $u$  and  $v$  are the 10-meter u/v-wind  
 118 components, respectively.

$$WS_i = \sqrt{u_i^2 + v_i^2} \quad (1)$$

119 **2.3 Methods**120 **2.3.1 Trend analysis method**

121 Theil-Sen slope ( $TS_{Slope}$ ) estimation is a nonparametric estimation method that was used here to estimate the  
 122 change rate (CR) of time-series data. This estimation method can handle censored regression models and is  
 123 insensitive to outliers. For skew and heteroscedastic data, it is more accurate than non-robust simple linear regression,  
 124 even for normally distributed data, and non-robust least squares. This method has obvious advantages, especially for  
 125 data with chaotic properties. The expression for the Theil-Sen slope is given in Eq. (2), where *median* is the median  
 126 function,  $x_i$  and  $x_j$  are series data,  $t_i$  and  $t_j$  are the time points corresponding to the series data,  $n$  is the series length,  
 127 and  $i$  and  $j$  are the series number ( $1 \leq i < j \leq n$ ). When  $TS_{Slope} > 0$ , this indicates an upward trend; otherwise, it indicates a  
 128 downward trend. The higher the value of  $|TS_{Slope}|$ , the stronger the trend.

$$TS_{Slope} = \text{median}\left(\frac{x_j - x_i}{t_j - t_i}\right) \quad (2)$$

129 In order to express the change rates of parameters in different scales, this paper uses a comprehensive change  
 130 rate (CCR), which avoids the limitations of a single dimension. The comprehensive change rate was calculated as the  
 131 average of the annual change rate ( $TS_{year}$ ), the seasonal (spring, summer, autumn and winter) change rate ( $TS_{season}$ ),  
 132 and the monthly (January to December) change rate ( $TS_{month}$ ), as shown in Eq. (3), where *mean* represents the mean  
 133 function and the mean comprehensive change rate (MCCR) is the average CCR of 11 lakes. For the mean  
 134 comprehensive warming rate (MCWR), only the warming rates are considered and the cooling rates are excluded.

$$TS_{Comprehensive} = \text{mean}(TS_{year} + TS_{season} + TS_{month}) \quad (3)$$

135 **2.3.2 Contribution analysis method**

136 The  $\Delta R^2$  values of multivariate regressions were used to calculate the contribution rates. A detailed description  
 137 of this analysis method is presented in Appendix B (2.4 Multivariate regression analysis).  $\Delta R^2$  can be used to

138 describe the explanatory power of a newly added independent variable to the dependent variable, as shown in Eq. (4).

139 Here,  $R_{before}^2$  is the  $R^2$  of the original regression model and  $R_{after}^2$  is the  $R^2$  of the regression model after adding the

140 new independent variable.

$$\Delta R^2 = R_{after}^2 - R_{before}^2 \quad (4)$$

### 141 2.3.3 Correlation analysis method

142 Pearson's correlation coefficient is one of the metrics used to describe the relationships among the variables.

143 This comparison method uses the covariance matrix of the data to evaluate the strength of the relationship between

144 two vectors. Normally, the Pearson's correlation coefficient between two variables  $\alpha_i$  and  $\alpha_j$  can be calculated as

145 shown in Eq. (5), where  $cov(\alpha_i, \alpha_j)$  is the covariance,  $var(\alpha_i)$  is the variance of  $\alpha_i$ , and  $var(\alpha_j)$  is the variance of  $\alpha_j$ .

146 Pearson's correlation coefficient was also used in cross-correlation analysis (Podobnik et al., 2008).

$$R(\alpha_i, \alpha_j) = \frac{cov(\alpha_i, \alpha_j)}{\sqrt{var(\alpha_i) \times var(\alpha_j)}} \quad (5)$$

## 147 3 Results

### 148 3.1 Characteristics of Lake Surface Water Temperature

149 The LSWT of the 11 lakes showed an overall upward trend, and the warming rate of LSWT-day was higher than

150 that of LSWT-night (as shown in Fig. 2 and Fig. A2). In LSWT-day, Lugu and Yangzonghai Lakes showed a

151 downward trend, while in LSWT-night, Qilu, Xingyun, and Yilong Lakes showed a downward trend, among which

152 Qilu and Yilong Lakes exhibited particularly sharp declines (as shown in Table A4).

#### 153 (1) Monthly Analysis

154 From 2001 to 2018, the monthly mean LSWT-day values of Yangzonghai and Lugu Lakes showed a downward

155 trend ( $CR_{YZHL} = -0.14$  °C/decade,  $CR_{LGL} = -0.27$  °C/decade) with a mean cooling rate of  $-0.2$  °C/decade. The remaining

156 nine lakes showed an upward trend with a mean warming rate of  $0.41\text{ }^{\circ}\text{C}/\text{decade}$  and a mean change rate of  
 157  $0.3\text{ }^{\circ}\text{C}/\text{decade}$ . The monthly mean LSWT-night in four lakes (Dianchi, Xingyun, Qilu, and Yilong Lakes) showed a  
 158 downward trend ( $\text{CR}_{\text{DCL}}=-0.01\text{ }^{\circ}\text{C}/\text{decade}$ ,  $\text{CR}_{\text{XYL}}=-0.12\text{ }^{\circ}\text{C}/\text{decade}$ ,  $\text{CR}_{\text{QLL}}=-0.46\text{ }^{\circ}\text{C}/\text{decade}$ ,  $\text{CR}_{\text{YLL}}=-0.98\text{ }^{\circ}\text{C}/\text{decade}$ )  
 159 with a mean cooling rate of  $-0.39\text{ }^{\circ}\text{C}/\text{decade}$ . The other 7 lakes showed an upward trend with a mean warming rate of  
 160  $0.17\text{ }^{\circ}\text{C}/\text{decade}$  and a mean change rate of  $-0.03\text{ }^{\circ}\text{C}/\text{decade}$ . In LSWT-day, all lakes showed a downward trend from  
 161 December to April and an upward trend in the other months (from May to November). In LSWT-night, 11 lakes  
 162 showed an upward trend in May and September to October, and a downward trend in the other months of the year  
 163 (January to April, June to August, and November to December).

#### 164 (2) Seasonal analysis

165 From 2001 to 2018, the mean LSWT-day in 2 lakes (Yangzonghai and Lugu Lakes) decreased  
 166 ( $\text{CR}_{\text{YZHL}}=-0.03\text{ }^{\circ}\text{C}/\text{decade}$ ,  $\text{CR}_{\text{LGL}}=-0.25\text{ }^{\circ}\text{C}/\text{decade}$ ) and the mean cooling rate was  $-0.14\text{ }^{\circ}\text{C}/\text{decade}$ . The mean  
 167 warming rate in the other lakes (9 lakes) was  $0.44\text{ }^{\circ}\text{C}/\text{decade}$  and the mean change rate was  $0.34\text{ }^{\circ}\text{C}/\text{decade}$ . The mean  
 168 LSWT-night in 3 lakes (Xingyun, Qilu, and Yilong Lakes) decreased ( $\text{CR}_{\text{XYL}}=-0.06\text{ }^{\circ}\text{C}/\text{decade}$ ,  
 169  $\text{CR}_{\text{QLL}}=-0.26\text{ }^{\circ}\text{C}/\text{decade}$ ,  $\text{CR}_{\text{YLL}}=-0.89\text{ }^{\circ}\text{C}/\text{decade}$ ) with a mean cooling rate of  $-0.4\text{ }^{\circ}\text{C}/\text{decade}$ , while the other lakes (8  
 170 lakes) showed an upward trend with a mean warming rate of  $0.28\text{ }^{\circ}\text{C}/\text{decade}$  and a mean change rate of  
 171  $0.09\text{ }^{\circ}\text{C}/\text{decade}$ .

172 In the spring, the mean LSWT-day of four lakes (Yangzonghai, Lugu, Qionghai, and Caohai Lakes) showed a  
 173 downward trend ( $\text{CR}_{\text{YZL}}=-0.84\text{ }^{\circ}\text{C}/\text{decade}$ ,  $\text{CR}_{\text{LGL}}=-1.27\text{ }^{\circ}\text{C}/\text{decade}$ ,  $\text{CR}_{\text{QHL}}=-0.1\text{ }^{\circ}\text{C}/\text{decade}$ ,  $\text{CR}_{\text{CAHL}}=-0.65\text{ }^{\circ}\text{C}/\text{decade}$ ),  
 174 while the other 7 lakes showed an upward trend with a mean warming rate of  $0.64\text{ }^{\circ}\text{C}/\text{decade}$ , mean cooling rate of  
 175  $-0.71\text{ }^{\circ}\text{C}/\text{decade}$ , and mean change rate of  $0.15\text{ }^{\circ}\text{C}/\text{decade}$ . The mean seasonal LSWT-day of 2 lakes (Erhai and Lugu  
 176 Lakes) showed a downward trend ( $\text{CR}_{\text{EHL}}=-0.53\text{ }^{\circ}\text{C}/\text{decade}$ ,  $\text{CR}_{\text{LGL}}=-0.62\text{ }^{\circ}\text{C}/\text{decade}$ ), while the other 9 lakes showed  
 177 an upward trend with a mean warming rate of  $0.9\text{ }^{\circ}\text{C}/\text{decade}$ . The mean cooling rate was  $-0.58\text{ }^{\circ}\text{C}/\text{decade}$ , and the

178 mean rate of change was 0.63 °C/decade. In autumn, these lakes all showed an upward trend in LSWT-day, with a  
 179 mean warming rate of 0.7 °C/decade; in winter, the LSWT-day of four lakes (Fuxian, Qilu, Yilong, and Caohai Lakes)  
 180 showed an upward trend ( $CR_{FXL}=0.08$  °C/decade,  $CR_{QLL}=0.28$  °C/decade,  $CR_{YLL}=0.06$  °C/decade,  
 181  $CR_{CAHL}=0.34$  °C/decade). The other 7 lakes showed a downward trend, with a mean warming rate of 0.19 °C/decade,  
 182 a mean cooling rate of -0.3 °C/decade, and a mean change rate of -0.12 °C/decade.

183 The mean LSWT-night in spring of 2 lakes (Qilu and Yilong Lakes) showed a downward trend  
 184 ( $CR_{QLL}=-0.51$  °C/decade,  $CR_{YLL}=-0.62$  °C/decade), while the other lakes (9 lakes) showed an upward trend, with a  
 185 mean warming rate of 0.17 °C/decade, a mean cooling rate of -0.39 °C/decade, and a mean change rate of  
 186 0.35 °C/decade. The mean LSWT-night in summer of 4 lakes (Yangzonghai, Erhai, Lugu, and Caohai Lakes) showed  
 187 an upward trend ( $CR_{YZHL}=0.07$  °C/decade,  $CR_{EHL}=0.05$  °C/decade,  $CR_{LGL}=0.2$  °C/decade,  $CR_{CAHL}=0.37$  °C/decade),  
 188 while the other 7 lakes showed a downward trend, with a mean warming rate of 0.17 °C/decade, a mean cooling rate  
 189 of -0.52 °C/decade, and a mean change rate of -0.27 °C/decade. The seasonal mean LSWT-night of 2 lakes (Yilong  
 190 and Qionghai Lakes) showed a downward trend in autumn ( $CR_{YLL}=-0.49$  °C/decade,  $CR_{QHL}=-0.19$  °C/decade), while  
 191 the other nine lakes showed an upward trend, with a mean warming rate of 0.5 °C/decade. The mean cooling rate was  
 192 -0.34 °C/decade and the mean change rate was 0.35 °C/decade. The seasonal mean LSWT-night of five lakes (Dianchi,  
 193 Xingyun, Qilu, Yilong, and Qionghai Lakes) in winter showed a downward trend ( $CR_{DCL}=-0.02$  °C/decade,  
 194  $CR_{XYL}=-0.09$  °C/decade,  $CR_{QLL}=-0.58$  °C/decade,  $CR_{YLL}=-1.05$  °C/decade,  $CR_{QHL}=-0.08$  °C/decade), while the other  
 195 six lakes showed an upward trend. The mean warming rate was 0.19 °C/decade, the mean cooling rate was  
 196 -0.36 °C/decade, and the mean change rate was -0.06 °C/decade.

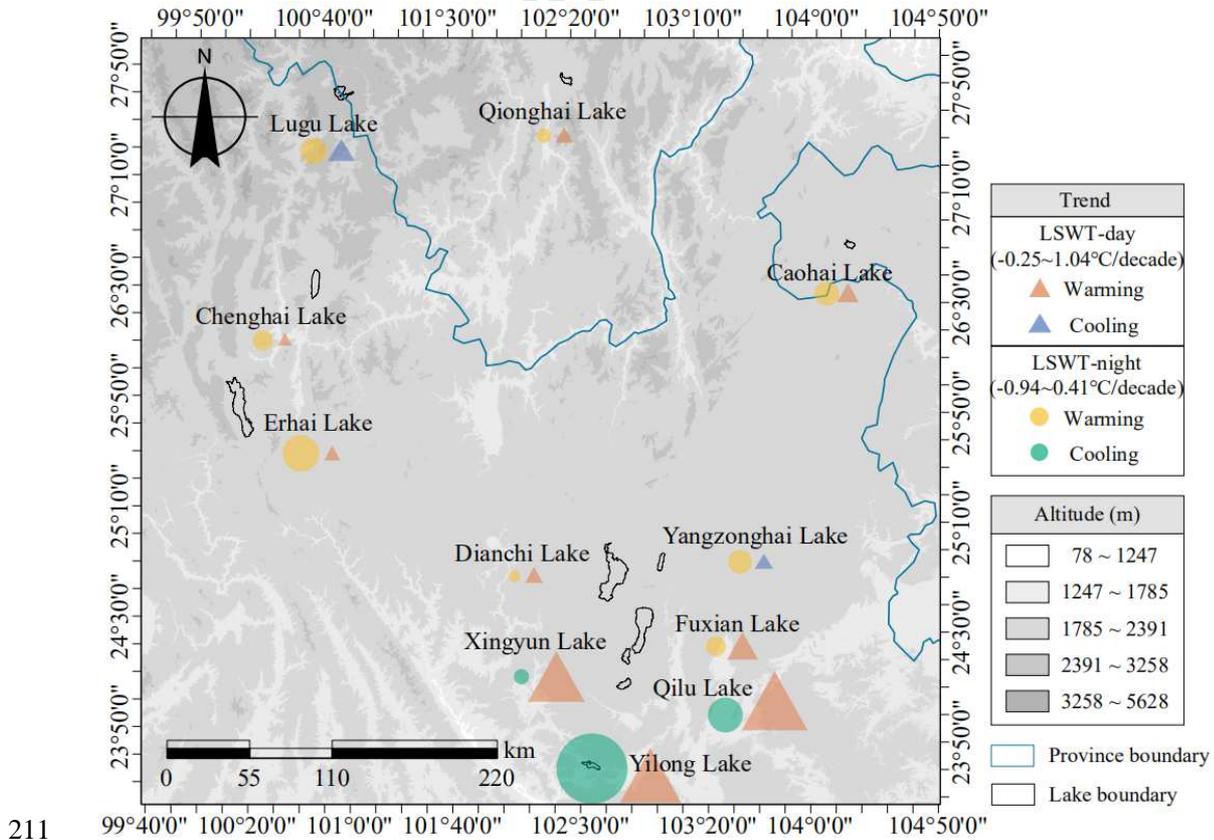
### 197 (3) Annual analysis

198 The annual mean LSWT-day in Lugu Lake showed a downward trend ( $CR_{LGL}=-0.02$  °C/decade). The mean  
 199 warming rate of the other lakes was 0.46 °C/decade, and the mean change rate was 0.41 °C/decade. The annual mean

200 LSWT-night in 2 lakes (Qilu and Yilong Lakes) showed a downward trend ( $CR_{QLL}=-0.27$   $^{\circ}\text{C}/\text{decade}$ ,  
 201  $CR_{YLL}=-0.61$   $^{\circ}\text{C}/\text{decade}$ ) with a mean cooling rate of  $-0.44$   $^{\circ}\text{C}/\text{decade}$ . The other lakes showed an upward trend, with  
 202 a mean warming rate of  $0.24$   $^{\circ}\text{C}/\text{decade}$  and a mean change rate of  $0.12$   $^{\circ}\text{C}/\text{decade}$ .

#### 203 (4) Comprehensive change rate analysis

204 The comprehensive change rate of LSWT-day in 2 lakes (Yangzonghai and Lugu Lakes) showed a downward  
 205 trend ( $CR_{YZHL}=-0.1$   $^{\circ}\text{C}/\text{decade}$ ,  $CR_{LGL}=-0.25$   $^{\circ}\text{C}/\text{decade}$ ) with a mean cooling rate of  $-0.17$   $^{\circ}\text{C}/\text{decade}$ . The other lakes  
 206 showed an upward trend with a mean warming rate of  $0.42$   $^{\circ}\text{C}/\text{decade}$ . The mean change rate was  $0.31$   $^{\circ}\text{C}/\text{decade}$ . The  
 207 comprehensive change rate of LSWT-night in 3 lakes (Xingyun, Qilu, and Yilong Lakes) showed a downward trend  
 208 ( $CR_{XYL}=-0.1$   $^{\circ}\text{C}/\text{decade}$ ,  $CR_{QLL}=-0.4$   $^{\circ}\text{C}/\text{decade}$ ,  $CR_{YLL}=-0.94$   $^{\circ}\text{C}/\text{decade}$ ) with a mean cooling rate of  $-0.48$   $^{\circ}\text{C}/\text{decade}$ ,  
 209 while the other lakes showed an upward trend with a mean warming rate of  $0.19$   $^{\circ}\text{C}/\text{decade}$ . The mean change rate  
 210 was  $0.01$   $^{\circ}\text{C}/\text{decade}$ .



212 **Fig. 2** LSWT-day/night trend for 11 lakes. The comprehensive change rate was represented by marks (circles and  
213 triangles) size, and the trend was represented by different colors.

## 214 **3.2 Correlation and contribution rate**

### 215 **3.2.1 Correlation analysis**

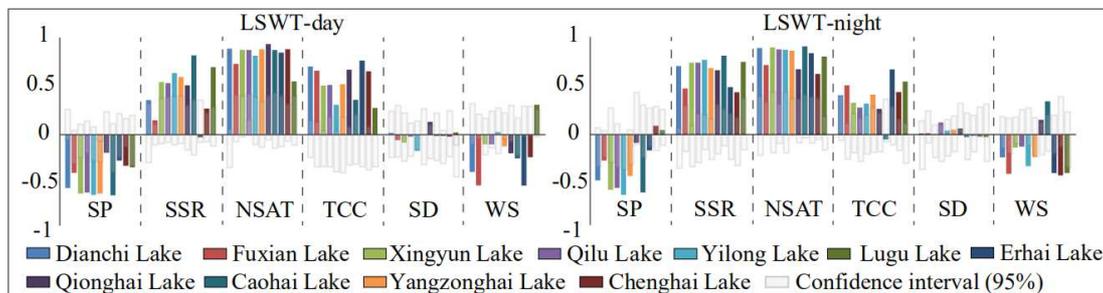
216 The Pearson's correlation coefficient analysis results for six factors for each lake and the monthly mean  
217 LSWT-day/night are shown in Fig. 3. For the 11 lakes, the correlation between NSAT and LSWT was the highest  
218 ( $R_{NSAT-day}=0.83$ ,  $R_{NSAT-night}=0.81$ ), followed by that between SSR and TCC ( $R_{SSR-day}=0.46$ ,  $R_{TCC-day}=0.54$ ,  
219  $R_{SSR-night}=0.66$ ,  $R_{TCC-night}=0.38$ ). SD was the lowest ( $R_{SD-day}=-0.017$ ,  $R_{SD-night}=0.026$ ), while SP and WS were  
220 negatively correlated ( $R_{SP-day}=-0.46$ ,  $R_{WS-day}=-0.19$ ,  $R_{SP-night}=-0.32$ ,  $R_{WS-night}=-0.19$ ).

221 According to the analysis for each lake, the factors with Pearson's correlation coefficients higher than 0.6 were  
222 considered as high correlation factors (as shown in Table A5). For LSWT-day, the most highly correlated factors of  
223 Yilong and Caohai Lakes were found to be SP, SSR, and NSAT; for Xingyun and Yangzonghai Lakes, SP and  
224 NSAT; for Qilu Lake, NSAT; for Lugu Lake, SSR; and for the other five lakes (Dianchi, Fuxian, Erhai, Chenghai,  
225 and Qionghai Lakes) the high correlation factors were found to be NSAT and TCC. For LSWT-night, the high  
226 correlation factors of Yilong Lake were SP, SSR and NSAT, those of Fuxian and Chenghai Lakes were NSAT, those  
227 of Erhai Lake were NSAT and TCC, and those of the other 7 lakes (Dianchi, Xingyun, Qilu, Yangzonghai, Lugu,  
228 Qionghai, and Caohai Lakes) were SSR and NSAT.

229 Of the correlations between different factors and LSWT-day (as shown in Fig. A3(a) ~ (f)), those of SP and  
230 TCC were lower, but relatively higher in summer; those of SSR and NSAT were higher, especially in winter, but  
231 lower in summer and autumn; those of SDs in all four seasons were close, slightly higher in winter, and relatively  
232 lower in spring and summer; that of WS was lower in summer, but higher in other seasons.

233 In terms of the correlations between different factors and LSWT-night (as shown in Fig. A3(g) ~ (l)), the four  
 234 seasons resulted in clear changes, except for SD and WS. The correlation with SD in spring was lower, but higher in  
 235 autumn and winter. The correlation with WS was higher in spring, but the correlation values in other seasons were  
 236 close. In autumn, SP showed an opposite correlation compared to the other seasons, that is, higher in autumn and  
 237 lower in other seasons. The correlation coefficients of SSR were closer in autumn and winter, as were those in spring  
 238 and summer. The correlation with NSAT was higher in winter, lower in summer, and close in spring and autumn.  
 239 The correlation coefficients of TCC were close in summer and winter, but higher in autumn.

240 Cross-correlation analysis results are shown in Table A6, and all factors were found to be statistically  
 241 significant ( $\alpha < 0.05$ ) except SD. In general, TCC exhibited a first-order lag, SSR and SP for LSWT-day exhibited  
 242 first-order lead, SSR exhibited 5<sup>th</sup>-order lag for LSWT-night, and WD exhibited 2<sup>nd</sup>-order lag (for LSWT-day) and  
 243 8<sup>th</sup>-order lag (for LSWT-night). The different factors almost maintained the same time-lag at different scales. It is  
 244 necessary to mention that SP exhibited a different time-lag in each lake type. In terms of LSWT-day, the natural  
 245 lakes (Yang et al., 2019) were different from the other types. Urban and semi-urban lakes (Yang et al., 2019)  
 246 exhibited 5<sup>th</sup>-order lag, while natural lakes exhibited 1<sup>st</sup>-order lead. For LSWT-night, semi-urban and natural lakes  
 247 were close, with 5<sup>th</sup>/4<sup>th</sup>-order lag respectively, while urban lakes showed 2<sup>nd</sup>-order lead. The trend in the  
 248 cross-correlation coefficients was almost same as that in the Pearson's coefficients, but the values were higher.



249

250 **Fig. 3** The Pearson's correlation coefficient analysis results for six factors of each lake with monthly mean

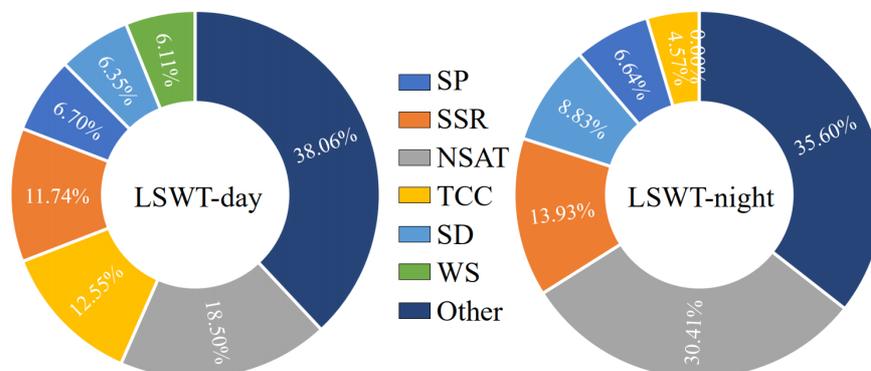
251 LSWT-day/night.

### 252 3.2.2 Contribution rate analysis

253 The overall contribution rates of each factor to LSWT-day/night are shown in Fig. 4. The multiple linear  
 254 regression equations are shown in Table A7 (Eq. (A1) ~ (A2)).

255 In these equations,  $LSWT_{day}$  and  $LSWT_{night}$  represent LSWT-day and LSWT-night, respectively. Two equations  
 256 can express the contributions of six variables to LSWT-day/night, which were 61.94% and 64.40%, respectively; the  
 257 remaining 38.06% and 35.60% contributions are attributed to other factors. For LSWT-day, NSAT, SSR, and TCC  
 258 contributed 42.79%; for LSWT-night, NSAT and SSR contributed 44.34%; for LSWT-day and LSWT-night, NSAT  
 259 contributed the most ( $ConR_{day} = 18.50\%$ ,  $ConR_{night} = 30.41\%$ ), followed by SSR ( $ConR_{day} = 11.74\%$ ,  $ConR_{night}$   
 260  $= 13.93\%$ ), while WS contributed the least ( $ConR_{day} = 6.11\%$ ,  $ConR_{night} = 0.00\%$ ).

261 The mean contribution rates of the six factors to LSWT-day/night were found to be 81.02% and 75.96%,  
 262 respectively, and that of NSAT was the highest ( $ConR_{day} = 34.85\%$ ,  $ConR_{night} = 32.77\%$ ); SSR and TCC exhibited  
 263 the second highest rates ( $ConR_{SSR-day} = 13.62\%$ ,  $ConR_{SSR-night} = 21.88\%$ ,  $ConR_{TCC-day} = 16.05\%$ ,  $ConR_{TCC-night} = 8.84\%$ );  
 264 that of SD was the lowest ( $ConR_{day} = 0.19\%$ ,  $ConR_{night} = 0.17\%$ ). For LSWT-day, NSAT, TCC, SSR and SP were the  
 265 main impact factors ( $ConR_{day} = 76.16\%$ ). For LSWT-night, NSAT and SSR were the main impact factors ( $ConR_{day} =$   
 266  $54.65\%$ ). For all 11 lakes, the contribution rates of each factor to LSWT-day/night were different, as shown in Table  
 267 A8. The variables with contribution rates higher than 10% were regarded as the main impact factors.



268  
 269 **Fig. 4** The overall contribution rate of six factors to LSWT-day/night for 11 lakes.

270 **4 Discussion**

271 From 2001 to 2018, the LSWT-day/night values of most lakes showed a warming trend. The mean  
272 comprehensive change rate of LSWT-day was 0.31 °C/decade, which is approaching global lake warming rates  
273 (O'Reilly., 2015). The mean comprehensive change rate of LSWT-night was 0.01 °C/decade, the mean  
274 comprehensive change rate of NSAT was 0.25 °C/decade, and the mean comprehensive change rates of NSAT and  
275 LSWT-day were relatively close. The rising trend of LSWT-day in the 11 naturally formed lakes was consistent with  
276 that observed in most lakes in the world (O'Reilly et al., 2015). The governance plan for urbanization expansion put  
277 forward by the government had a certain impact on LSWT changes in the watershed. The average altitude of the  
278 Yunnan-Guizhou Plateau is about 1881 m, and the solar radiation in the east is lower than that in the west. The  
279 distribution of solar radiation is relatively uniform in each month of the year. The population distribution is relatively  
280 concentrated in the low-altitude areas of central and southeast Yunnan. With serious urbanization and expansion, the  
281 number of impervious surfaces increased, resulting in increased runoff temperature. The influence of human  
282 activities had caused changes in the regional land surface temperature, humidity, air convection, and other factors  
283 related to urban surface area, resulting in urban heat island and rain island effects (Lawrence., 1971; Jáuregui &  
284 Romales., 1996; Adamowski & Prokoph., 2013), which in turn affected the LSWT. Furthermore, in the past thirty  
285 years, the total area of lakes in the Yunnan-Guizhou Plateau showed a trend of rising first and then falling, which was  
286 mainly attributed to the four-year lingering drought and man-made damage to the lake environment from 2009 to  
287 2012 (Xiao et al., 2018). The main reason for the decrease in lake area was urban expansion, and lake shrinkage  
288 would also cause the LSWT rise. The spatial heterogeneity of the LSWT changes was related to lake morphology  
289 parameters (Woolway & Merchant, 2017, 2018) and human activities (Yang et al., 2019).

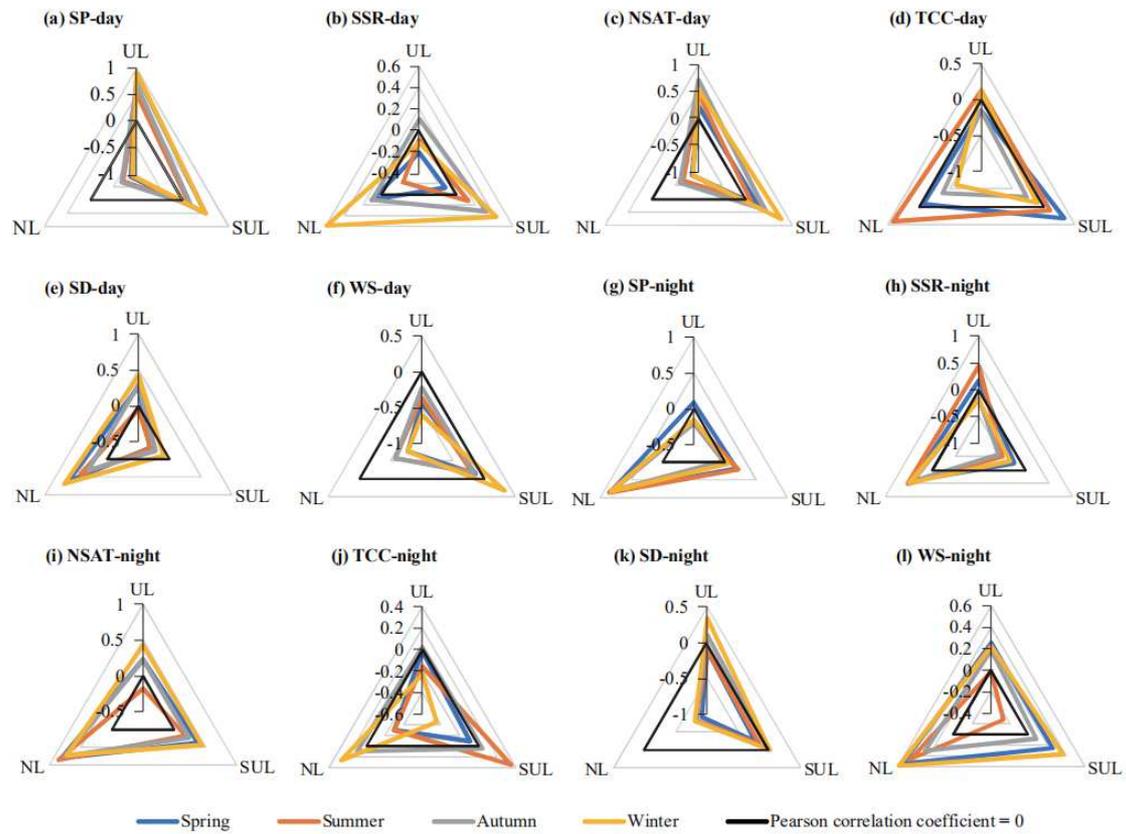
#### 290 4.1 Lake surface water temperature variation characteristics of Different lake types

291 In previous studies (Yang et al., 2019), the 11 lakes considered here were divided into three types: urban lakes  
292 (Dianchi and Qilu Lakes), semi-urban lakes (Erhai, Yilong, Qionghai, Yangzonghai, and Caohai Lakes) and natural  
293 lakes (Fuxian, Xingyun, Lugu, and Chenghai Lakes). On this basis, LSWT-day/night change trends in different lake  
294 types were analyzed (as shown in Fig. 5). For LSWT-day, all the three lake types exhibited rising values, the  
295 comprehensive warming rate of urban lakes was higher than that of the others, and the warming rates of semi-urban  
296 and natural lakes were almost the same ( $CR_{UL}=0.58$  °C/decade,  $CR_{SUL}=0.25$  °C/decade,  $CR_{NL}=0.26$  °C/decade). For  
297 LSWT-night, urban and semi-urban lakes showed a cooling trend, while natural lakes showed a warming trend  
298 ( $CR_{UL}=-0.19$  °C/decade,  $CR_{SUL}=-0.0039$  °C/decade,  $CR_{NL}=0.12$  °C/decade), and the cooling rates of Qilu and Yilong  
299 Lakes were higher ( $CR_{QLL}=-0.4$  °C/decade,  $CR_{YLL}=-0.94$  °C/decade). Apart from these lakes (Qilu and Yilong Lakes),  
300 urban and semi-urban lakes showed warming trends ( $CR_{UL}=0.03$  °C/decade,  $CR_{SUL}=0.23$  °C/decade).

301 Considering the correlations between each factor and LSWT-day, the seasonal differences in SP and NSAT  
302 were small, while the values for urban and semi-urban lakes were higher than those of natural lakes. The SD of  
303 natural lakes was higher than that of the other lakes, and the WS of semi-urban lakes was higher, especially in the  
304 winter. SSR and TCC changed greatly with the season. The SSR was higher in spring and winter for natural lakes,  
305 while summer and autumn showed higher values for urban and semi-urban lakes. For TCC, semi-urban lakes were  
306 higher in spring, natural lakes were higher in summer, urban and semi-urban lakes were higher in winter, and TCC  
307 showed little variation in autumn. SP and NSAT were closely related to the urban heat island effect (Adamowski &  
308 Prokoph., 2013) and were greatly affected by human activities, so natural lakes showed lower values than the other  
309 lakes. The SD of the natural lakes was higher, and the fluctuation range was larger than that of the other lakes, so the  
310 correlation coefficients of SD for urban and semi-urban lakes were lower. Most of the semi-urban lakes were located  
311 in the southern part of the Yunnan-Guizhou Plateau, which is the low-altitude region. WS was affected by terrain and

312 climate, and values were smaller (Fan & Thomas., 2013). With dense cities, WS and LSWT were more affected by  
313 human activities, so the correlation was higher than for the other areas. TCC was closely related to the rain island  
314 effect (Lawrence., 1971; Jáuregui & Romales., 1996). In winter, urban heat emissions were higher than in other areas,  
315 so the natural lakes exhibited lower values.

316 In LSWT-night, there were little seasonal differences for SP and SD. SP was higher in natural lakes, SD was  
317 higher in urban and semi-urban lakes, and SSR was higher in natural and urban lakes. NSAT was higher in the  
318 natural lakes, WS in summer was higher in natural and urban lakes, and changed little in the other lakes, while WS  
319 was relatively higher in the natural lakes. TCC changed more. In spring and summer, urban and semi-urban lakes  
320 exhibited higher TCC, autumn was gentle, and in winter TCC was higher for natural lakes. The intensity of human  
321 activity is greatly reduced at night, but still remains much higher in the urban areas than in other areas (Cao et al.,  
322 2009), especially in summer and autumn; therefore, urban and semi-urban lakes were more affected by human  
323 activities. NSAT was more sensitive to human activities than LSWT (Ye et al., 2013). The correlation between  
324 LSWT-night and NSAT (urban and semi-urban lakes) was lower than for natural lakes, and the influence of human  
325 activities at night cannot be ruled out. NSAT in non-urban areas at night was affected by fewer other factors, so the  
326 correlation coefficient for natural lakes was higher.



327

Note: UL = Urban lake; SUL = Semi-urban lake; NL = Natural lake

328 **Fig. 5** The Pearson's correlation coefficient analysis results for six factors of each lake type with seasonal mean

329 LSWT-day/night.

330 In terms of the contribution of each factor to the LSWT of different lake types, the regression equations are

331 shown in Table A7 (Eq. (A3) ~ (A8)), and the contribution rates are shown in Table A9. The overall contribution of

332 the six factors for the three lake types was higher than 60%, while the urban lakes exhibited the highest values

333 ( $\text{ConR}_{\text{all-day}}=83.60\%$ ,  $\text{ConR}_{\text{all-night}}=78.49\%$ ). For urban and semi-urban lakes, the main factors affecting LSWT-day334 were found to be SSR, NSAT, and TCC, of which NSAT was the most important impact factor ( $\text{ConR}_{\text{UL}}=43.49\%$ ,335  $\text{ConR}_{\text{SUL}}=38.12\%$ ). SSR and NSAT were found to be the main factors affecting LSWT-night, with contributions

336 reaching 65.95% and 56.52%, respectively. For natural lakes, the impact of the six factors on LSWT-day was small.

337 The main contributing factors were SP, SSR, TCC, and SD. The contribution rate of the main factors was 52.18%.

338 SP, NSAT, and SD were determined to be the main factors affecting LSWT-day, with a contribution rate of 64.56%.

339 Schmid &amp; Koster (2016) showed evidence that SSR and NSAT were the main influencing factors of LSWT, and that

340 the contribution rate of SSR was ~60%, while that of NSAT was ~40%. These results are slightly different from ours.

341 The above results show that the driving factors and their contributions are not the same for different types of lakes,

342 which might be related to the intensity of human activity and the distribution of differences in lake morphology.

#### 343 **4.2 Lake surface water temperature variation characteristics with different morphological**

344 Becker & Daw (2005) and Woolway & Merchant (2017, 2018) showed that the morphological characteristics

345 of lakes had a significant impact on LSWT, and the main influencing factors included lake area, depth, and storage.

346 In this paper, the 11 lakes were divided into three types by K-Means clustering with these factors, as shown in Table

347 A10. The areas of Fuxian, Dianchi, and Erhai Lakes are all greater than 200 km<sup>2</sup>, while the areas of the other lakes

348 were within 25~80 km<sup>2</sup>. The storage and depth of Fuxian Lake are the highest of the 11 lakes. Dianchi and Erhai

349 Lakes are close to the urban area. In LSWT-day, Dianchi, Erhai, and Fuxian Lakes were mainly affected by NSAT,

350 TCC, and WS, while NSAT and TCC had particularly high contribution rates (ConR<sub>NSAT2</sub>=28.57%,

351 ConR<sub>NSAT3</sub>=37.20%, ConR<sub>TCC2</sub>=23.30%, ConR<sub>TCC3</sub>=26.91%), while other lakes were mainly affected by SP, SSR,

352 NSAT, and TCC, of which NSAT had the highest contribution rate (ConR<sub>NSAT1</sub>=35.06%). In LSWT-night, Dianchi,

353 Erhai, and Fuxian Lake were mainly affected by SSR and NSAT. Under the impact of TCC, NSAT had a high

354 contribution rate (ConR<sub>NSAT2</sub>=27.05%, ConR<sub>NSAT3</sub>=38.13%), while other lakes were mainly affected by SSR and

355 NSAT, with a contribution rate of 56.02%. Even if the attributes of the lakes were different, NSAT was still the most

356 important factor affecting LSWT, and SSR was the second main factor. In the small, shallow, and low-storage lakes,

357 LSWT-day was more affected by SP and SSR. For large lakes (Fuxian, Dianchi, and Erhai Lakes), WS had a greater

358 impact on LSWT-day/night.

359 In summary, considering the dual impact of human activities and climate change, urban and semi-urban lakes

360 more strongly influenced by human activities were more affected by SSR and NSAT. And the contributions of the

361 six factors were higher in these two lake types, indicating that the urban heat island effect caused by the expansion of  
362 impervious surface area and the increase in surface runoff temperature in the areas with more human activities  
363 resulted in higher LSWT warming rates. For LSWT-day, TCC was also a factor with great influence. More  
364 cloud-enhanced atmospheric counter radiation and atmospheric insulation enabled NSAT to stay in a higher state.  
365 For natural lakes, SP and SD were both important factors for LSWT. SD can directly reflect the water quality of a  
366 lake and indirectly reflect the changes in lake water quality caused by human activities. The impact of NSAT on  
367 LSWT-day was smaller, which indicates that lakes were more sensitive to natural factors in the case of less point  
368 source pollution and a lake less affected by human activities. Moreover, the higher contribution rate of SD also  
369 reflected the fact that lakes were more affected by humans.

## 370 **5 Conclusion**

371 Based on the dataset of LSWT-day/night, SP, SSR, NSAT, TCC, WS, and SD, this paper analyzed the  
372 LSWT-day/night values of the 11 major naturally formed lakes in the Yunnan-Guizhou Plateau from January 1, 2001  
373 to December 31, 2018, explored the main driving factors affecting the change of LSWT-day/night, and quantified the  
374 impact degree of each factor. The characteristics of and relationships between the factors affecting LSWT in lakes of  
375 different types and attributes were revealed, which will provide new insight for further research into lake  
376 environments.

377 (1) In the past 18 years, the LSWT-day/night values of all 11 lakes showed a warming trend, and LSWT-day  
378 was higher than LSWT-night. In terms of LSWT-day, nine lakes exhibited rising temperatures with a mean  
379 comprehensive warming rate of 0.42 °C/decade, while Yangzonghai and Lugu Lakes showed downward trends. In  
380 LSWT-night, 8 lakes showed upward trends, with a mean comprehensive warming rate of 0.19 °C/decade, while  
381 Xingyun, Qilu, and Yilong Lakes showed decreasing trends.

382 (2) The results of correlation analysis showed that NSAT and LSWT day/night had the strongest correlation for  
383 all the 11 lakes ( $R_{\text{NSAT-day}}=0.83$ ,  $R_{\text{NSAT-night}}=0.81$ ), while the correlations between TCC and LSWT-day and SSR and  
384 LSWT-night were the second largest ( $R_{\text{TCC-day}}=0.54$ ,  $R_{\text{SSR-night}}=0.66$ ). Correlation analysis for each lake showed that  
385 NSAT and LSWT-day were the most strongly correlated, while SSR and SP were the second most strongly  
386 correlated pair. On the seasonal scale, the correlations between NSAT and LSWT-day were constant over each of the  
387 four seasons, while the correlations between NSAT and LSWT-night, SSR and LSWT-day/night varied greatly.

388 (3) Contribution rate analysis showed that NSAT and SSR were the most important factors affecting  
389 LSWT-day/night, and their contribution rates reached 30.24% and 44.34%, respectively. The LSWT-day values of  
390 smaller, shallower, and lower-storage lakes were more affected by SP and SSR. For larger lakes, the LSWT-day  
391 values were more affected by WS; the LSWT-night values were more affected by TCC. The influence of SSR and  
392 NSAT in urban and semi-urban lakes was more serious, which indicates that the urban heat island effect caused by  
393 the expansion of impervious surfaces and increases in runoff surface temperature in areas with higher degrees of  
394 human activity are responsible for higher LSWT warming rates. For natural lakes, the decrease in SD was important  
395 to the increase in LSWT, which indirectly suggests that the impact of human activities on the water quality of lakes  
396 has caused the LSWT to warm.

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401 be considered co-first authors.

**402 Appendix A. Supplementary chart**

403 Supplementary chart related to this paper can be found at

404 <https://pan.baidu.com/s/1pTr4qN78V-rTnwmrWV5TQg> (Extract code: g3u0).

**405 Appendix B. Supplementary method description**

406 Supplementary method description of Secchi depth inversion related to this paper can be found at

407 <https://pan.baidu.com/s/1Dmxx95UYU-Qeu6vmVp9asg> (Extract code: 9sw2).

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**Highlights:**

- The heterogeneity of LSWT warming of 11 major plateau lakes were discussed
- 6 main factors impact on LSWT were analyzed quantitatively
- LSWT changing heterogeneity was revealed from lake type and morphometry perspective

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**Declaration of interests**

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

The authors declare the following financial interests/personal relationships which may be considered as potential competing interests:

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