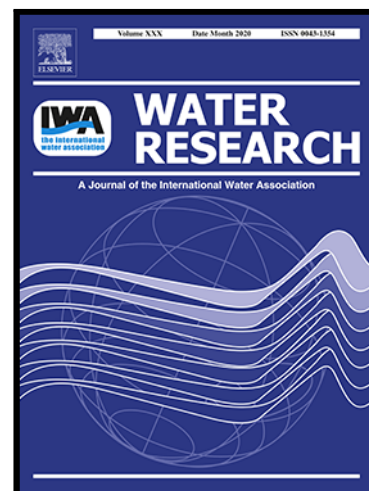


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Using Cyanobacteria and Other Phytoplankton to Assess Trophic Conditions: A qPCR-Based, Multi-Year Study in Twelve Large Rivers across the United States

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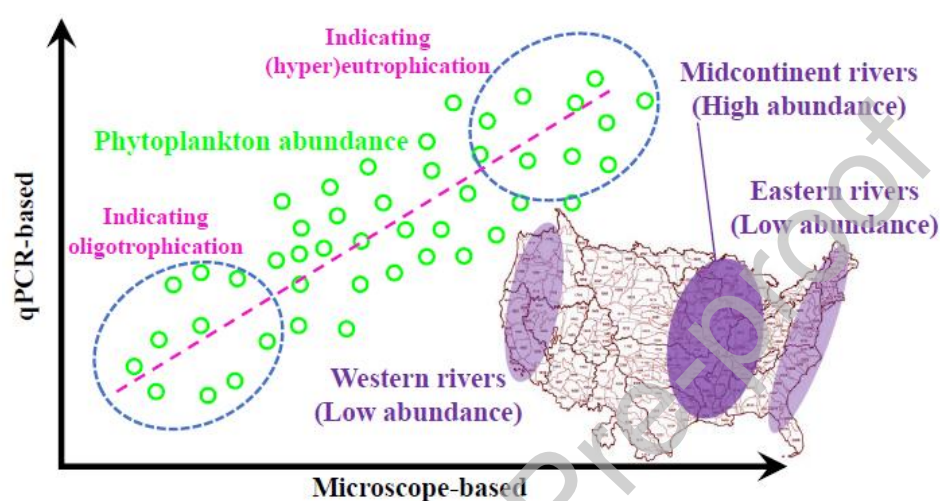
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Highlights

- Assessed phytoplankton in 12 freshwater rivers across the U.S. using qPCR and microscopy
- qPCR- and microscope-based phytoplankton abundance had a significant positive correlation
- qPCR-based phytoplankton abundance was indicative of river trophic conditions
- qPCR is a promising numerical tool to quantify phytoplankton and assess river trophic status
- Higher phytoplankton abundance indicated the midcontinent river sites were more eutrophic

Graphical abstract



Abstract: Phytoplankton is the essential primary producer in fresh surface water ecosystems. However, excessive phytoplankton growth due to eutrophication significantly threatens ecologic, economic, and public health. Therefore, phytoplankton identification and quantification are essential to understanding the productivity and health of freshwater ecosystems, as well as the impacts of phytoplankton overgrowth (such as cyanobacterial blooms) on public health. Microscopy is the gold standard for phytoplankton assessment but is time-consuming, has low throughput, and requires rich experience in phytoplankton morphology. Quantitative polymerase chain reaction (qPCR) is accurate and straightforward with high throughput. In addition, qPCR does not require expertise in phytoplankton morphology. Therefore, qPCR can be a useful alternative tool for molecular identification and enumeration of

phytoplankton. Nonetheless, a comprehensive study is missing which evaluates and compares the feasibility of using qPCR and microscopy to assess phytoplankton in freshwater. This study focused on 1) comparing the performance of qPCR and microscopy in identifying and quantifying phytoplankton and 2) evaluating qPCR as a molecular tool to assess phytoplankton and indicate eutrophication. We assessed phytoplankton using both qPCR and microscopy in twelve large, freshwater rivers across the United States from early summer to late fall in 2017, 2018, and 2019. qPCR- and microscope-based phytoplankton abundance had a significant positive linear correlation (adjusted $R^2 = 0.836$, p -value < 0.001). Phytoplankton abundance had limited temporal variation within each sampling season and over the three years studied. The sampling sites in the midcontinent rivers had higher phytoplankton abundance than those in the eastern and western rivers. For instance, the concentration (geometric mean) of Bacillariophyta, Cyanobacteria, Chlorophyta, and Dinoflagellates at the sampling sites in the midcontinent rivers was approximately three times that at the sampling sites in the western rivers and approximately 18 times that at the sampling sites in the eastern rivers. Welch's analysis of variance indicated that phytoplankton abundance at the sampling sites in the midcontinent rivers was significantly higher than that at the sampling sites in the eastern rivers (p -value = 0.013) but was comparable to that at the sampling sites in the western rivers (p -value = 0.095). The higher phytoplankton abundance at the sampling sites in the midcontinent rivers was presumably because these rivers were more eutrophic. Indeed, low phytoplankton abundance occurred in oligotrophic or low trophic sites, whereas more eutrophic sites had greater phytoplankton abundance. This study demonstrated that qPCR-based phytoplankton abundance can be a useful numerical indicator of the trophic conditions and water quality in freshwater rivers.

Keywords: Microscopy; Freshwater bodies; Eutrophication; Spatiotemporal variation; Nutrients; Indicator

Journal Pre-proof

1. Introduction

Fresh surface water represents approximately 0.02% of the Earth's water but is the most important physical resource for society (Stets et al., 2020; Wilhelm et al., 2004). In fresh surface water, phytoplankton is a vital part of biogeochemical cycling and is the most critical primary producer. Phytoplankton photosynthesizes and provides food and energy to other organisms, ensuring normal and healthy ecosystem functioning and services (Dokulil and Qian, 2021; Li et al., 2022; Naselli-Flores and Padisák, 2022; Winder and Sommer, 2012). Eutrophication, the accumulation of nutrients (primarily nitrogen and phosphorus) and minerals in natural surface waterbodies due to natural and anthropogenic activities, threatens over 80% of rivers and lakes globally (Dodds, 2006; Smith et al., 2006; Sutcliffe and Jones, 1992; Wilhelm et al., 2004). In the United States (U.S.), total nitrogen (TN) and total phosphorus (TP) concentrations exceeded the reference median concentrations in 76% to 100% and 53% to 96%, respectively, of rivers in 14 ecoregions (Dodds et al., 2009). Over 90% of rivers in 12 of the 14 ecoregions had TN and TP levels higher than the reference median values. Overgrowth of phytoplankton, especially harmful or toxic Cyanobacteria, is the biological consequence of freshwater eutrophication (Duan et al., 2022; Lu et al., 2020; Wilhelm et al., 2004). Phytoplankton overgrowth deteriorates water quality, threatens ecosystems (e.g., aquatic organism mortality and biodiversity reduction), and can limit recreational opportunities. The overgrowth also poses public health risks and raises other issues by releasing harmful toxins (mainly cyanotoxins) and taste-and-odor compounds, causing the water to be unsafe and/or unpleasant for consumption and recreation (Chislock et al., 2013; Graham et al., 2010; Steffen et al., 2017; Wilhelm et al., 2004; Zhang et al., 2021b). In addition, the annual economic losses due to freshwater eutrophication are over 2.2 billion dollars in the U.S. (Dodds et al., 2009).

Phytoplankton overgrowth (e.g., algal and cyanobacterial blooms) is a consequence of eutrophication and poses substantial risks to the environment and public health. Therefore, evaluating phytoplankton is critical to understanding freshwater bodies' ecological conditions and trophic status (Aboim et al., 2020; Dembowska et al., 2018; Li et al., 2020; Sayers et al., 2021; Zhang et al., 2021c). Microscopy is the gold standard for assessing phytoplankton (Clementson et al., 2021; Ding et al., 2022; Dugdale et al., 2012; Hoang et al., 2018; Liu et al., 2019); however, microscopy is time-consuming, labor-intensive, and less efficient (Dunker, 2019; Malkasian et al., 2011; Rivas-Villar et al., 2021; Yu et al., 2021). Microscopy also requires rich experience in phytoplankton morphology and is difficult to operate for high-frequency (spatial and/or temporal) sample analysis. Moreover, microscopy is unable to identify cells with significant morphology changes (e.g., broken and shrunk cells), cannot discriminate cryptic species, cannot determine if a strain can produce toxins, and may be confounded by fixatives (Jeffrey and Vesk, 1997; Xiao et al., 2014). Furthermore, microscopic analysis of phytoplankton is prone to human error and bias (e.g., misidentification of species and missing picoplanktonic taxa) (Culverhouse, 2007; Luo et al., 2006; Palińska and Surosz, 2008).

To overcome the limitations of microscopy, researchers have developed alternative approaches to identify and quantify phytoplankton and study phytoplankton community structure. Those alternative methods include pigment-based approaches (often via high-performance liquid chromatography or spectrofluorometry) (Lee et al., 2020; Pan et al., 2020; Srichandan et al., 2020; Stoyneva-Gärtner et al., 2020; Yu et al., 2021), fatty-acid-based procedures (Cañavate et al., 2019; Canavate, 2019; Dijkman and Kromkamp,

2006; Galloway and Winder, 2015), analytical and imaging flow cytometry (Dunker, 2020; Latasa et al., 2021; Liu et al., 2021; Moorhouse et al., 2018; Read et al., 2014), and high-throughput sequencing or next-generation sequencing (dos Santos et al., 2021; Gong et al., 2020; Malashenkov et al., 2021; Yang et al., 2021b). These alternatives have advantages but also limitations. For instance, pigments (e.g., chlorophyll) are a widely used proxy for algal biomass and an indicator of trophic status (Chen and Chen, 2022; Dodds et al., 1998; Mineeva and Makarova, 2018). However, pigment-based approaches are insensitive and inaccurate (i.e., unable to differentiate phytoplankton species accurately), thereby providing little information on phytoplankton community composition (Catherine et al., 2012; Tian et al., 2020; Yu et al., 2021). Fatty-acid-based methods have similar limitations to the pigment-based approaches because they also lack sufficient reference libraries (Cañavate et al., 2019). Flow cytometry is less accurate, requires complicated staining, is bottlenecked by small sample volumes processed, and has a low taxonomic resolution (Dashkova et al., 2017; Dubelaar and Jonker, 2000; Markina, 2019). Even though high-throughput sequencing is much better at evaluating phytoplankton community diversity compared to quantitative polymerase chain reaction (qPCR), it is not as good at quantifying abundances, is expensive, could be disrupted by sequencing errors, and requires extensive bioinformatic expertise to interpret the data (Poretsky et al., 2014; Zhou et al., 2015).

Because of the shortcomings of microscopy and the alternative assays, we propose using qPCR to screen phytoplankton in surface waterbodies. qPCR is a rapid, accurate, sensitive, robust, reproducible, high-throughput, and cost-effective methodology (Galazzo et al., 2020; Smith and Osborn, 2009). qPCR instruments are more accessible than flow cytometers and high-throughput sequencing

platforms (Azat, 2021; Raso and Biassoni, 2014). qPCR also does not require knowledge of phytoplankton taxonomy or morphology but can provide information about both abundance and taxonomic identification of phytoplankton. In addition, unlike pigment-based approaches and flow cytometry, qPCR can be coupled with high throughput sequencing (Jian et al., 2020) to assess phytoplankton community with high accuracy, large dynamic range, and high sample processing capability (i.e., sequencing of millions of DNA molecules in parallel) (Churko et al., 2013; Reuter et al., 2015). Furthermore, because of the rapid innovation in qPCR instrumentation and protocols, we can upgrade and scale up qPCR to a high throughput assay (Pearson et al., 2021; Porter and Hajibabaei, 2018; Wilcox et al., 2020).

Most studies use qPCR to assess genes encoding cyanotoxins (e.g., microcystins, cylindrospermopsin, anatoxin-a, and saxitoxin) and Cyanobacteria (especially cyanotoxin-producing Cyanobacteria) (Almuhtaram et al., 2021; Christensen et al., 2019; Eldridge et al., 2017; Kulabhusan and Campbell, 2021; Otten et al., 2015; Pacheco et al., 2016; Sagova-Mareckova et al., 2021; Schweitzer-Natan et al., 2019; Tavakoli et al., 2021; Zupančič et al., 2021). However, the feasibility of qPCR in identifying and quantifying phytoplankton, especially non-Cyanobacterial phytoplankton, in freshwater rivers has not been fully evaluated in a comparative study with microscopy.

This study aimed to evaluate the feasibility of qPCR in assessing phytoplankton by monitoring phytoplankton in twelve large, freshwater rivers across the U.S. from early summer to late fall in 2017, 2018, and 2019. Because freshwater phytoplankton

community dynamics are driven by local environmental factors (Stomp et al., 2011), we assessed how environmental parameters, such as nutrients, shaped the phytoplankton community. We hypothesized that qPCR- and microscopy-based phytoplankton abundance would be positively correlated, supporting the use of qPCR as a numerical tool for phytoplankton assessment.

2. Materials and methods

2.1. Study sites and river water sample collection

This study included twelve large, inland and coastal freshwater rivers in the western (two), midcontinent (five), and eastern (five) regions across the U.S. (Table 1 and Figure 1). In this study, the western region refers to the region in the west of the Sierra Nevada and Cascade ranges, and the eastern region refers to the region in the east of the Appalachian range. The midcontinent region refers to the region between the western and eastern regions. We collected river water samples in 2017, 2018, and 2019 from one sampling site in each river according to the United States Geological Survey (USGS)'s National Water Quality Assessment (NAWQA) protocol (USGS, 2022a). We used isokinetic sampling techniques to ensure that the river samples are representative. The sampling spanned early summer through late fall each year. Since phytoplankton does not grow well and has low abundance during cold seasons (i.e., winter and spring), especially in large streams (Savoy et al., 2019), we did not sample during cold seasons. Two sets of river water samples were collected in parallel during each sampling event, defined as river water collection from a site on a sampling day. The first set was an isokinetic sample for physicochemical water quality parameter determination and microscopic analysis of phytoplankton. The second set was a near-surface grab sample collected at the centroid of a river for qPCR. In this study, we collected

the samples for qPCR from the centroids of the streams to minimize microbial contamination of the sampling equipment. In addition, when a single sample location must be selected, sampling from the centroid of a flow is generally representative of the cross-sections of the streams (Graham et al., 2012). In total, we collected river water during 166 sampling events for qPCR (Table S1) and a similar number of sampling events for physicochemical water quality parameter determination and microscopic analysis. Additional details on sample collection are described elsewhere (Graham et al., 2020; USGS, 2022a; Zuellig et al., 2021).

2.2. Microscopic assessment of phytoplankton and physicochemical water quality determination

The microscopic assay for phytoplankton identification and enumeration is described somewhere else (Graham et al., 2020; Graham et al., 2021; Graham et al., 2022; King et al., 2020a; King et al., 2020b; Zuellig et al., 2021). Briefly, we collected river water samples in 500 mL high-density polyethylene (HDPE) bottles to shoulder-full using Teflon churns. Each river water sample was preserved with approximately 5 mL of acidified Lugol's iodine in the same bottle. In 2017 and 2018, we concentrated phytoplankton by settling and identified/enumerated phytoplankton using a light microscope (Komárek and Anagnostidis, 2007; Komárek, 2008; Komárek and Anagnostidis, 2008; Komárek, 2013; Komárek et al., 2014; Komárek, 2016; Rosen et al., 2017; Rosen et al., 2018; Wehr et al., 2015). In 2019, we quantified phytoplankton using a permanent *N*-(2-hydroxypropyl)methacrylamide mounting technique according to established protocols (phycotech.com). For all river samples, we identified and enumerated phytoplankton using an Olympus BX51 research-grade microscope (Olympus Corporation, Tokyo, Japan) and variable magnifications depending on identified taxa. We enumerated phytoplankton to the lowest possible taxonomic level (typically genus or species) via natural units (all samples) or cell

counts (2018 and 2019 samples). For the 2017 samples, we did not enumerate phytoplankton by cell count. A “natural unit” is the natural growth form of phytoplankton, such as an individual cell, a colony, or a filament. The “natural unit” is commonly used for microscopic phytoplankton enumeration (Bellinger and Sigee, 2015; Graham et al., 2004b; Lehman et al., 2021; Onyema, 2018; Vuorio et al., 2020). In this work, the microscope-based phytoplankton abundance is expressed in “ $\text{NU} \cdot \text{L}^{-1}$,” in which “NU” stands for “natural units.” At least, 400 natural units were counted per sample (Rosen et al., 2018). Phytoplankton identification and enumeration methods and data for the 2017, 2018, and 2019 samples are available in King et al. (2020a) and King et al. (2020b), Graham et al. (2021), and Graham et al. (2022), respectively.

We also determined multiple physicochemical water quality parameters (Graham et al., 2020; USGS, 2022a; Zuellig et al., 2021). We analyzed how 21 critical physicochemical parameters affected the phytoplankton community: water temperature, river discharge, dissolved oxygen concentration, water pH, particulate nitrogen concentration, dissolved nitrogen concentration, TN concentration, total organic nitrogen concentration, dissolved organic nitrogen concentration, ammonia and ammonium nitrogen concentration, nitrite nitrogen concentration, nitrate nitrogen concentration, dissolved Kjeldahl nitrogen concentration, total Kjeldahl nitrogen concentration, TP concentration, dissolved phosphorus concentration, orthophosphate phosphorus concentration, dissolved organic carbon concentration, total carbon dioxide concentration, dissolved carbonate ion concentration, and dissolved bicarbonate ion concentration. Water quality data are available through the USGS National Water Information System database (USGS, 2022b).

2.3. qPCR assessment of phytoplankton

We quantified nine critical phytoplankton groups via qPCR following established protocols (Lu et al., 2016; Lu et al., 2017; Zhang et al., 2021a). The nine qPCR targets are (1) Bacillariophyta (division), (2) Cyanobacteria (division), (3) Chlorophyta (specific to three classes in the division Chlorophyta: Chlorophyceae, Trebouxiophyceae, and Klebsormidiophyceae), (4) Dinoflagellates (class), (5) *Dolichospermum* (genus), (6) *Microcystis* (genus), (7) *Planktothrix* (genus), (8) *Synechococcus* (genus), and (9) *Cylindrospermopsis raciborskii* (species; updated name: *Raphidiopsis raciborskii*). *Dolichospermum*, *Microcystis*, *Planktothrix*, *Synechococcus*, and *C. raciborskii* are Cyanobacteria. We selected these nine targets because they are common and dominant phytoplankton in freshwater bodies (Duan et al., 2022; Lu et al., 2020; Lueangthuwapranit et al., 2011; Marshall, 2009; Wilhelm et al., 2004). The goal of this study was not to assess all phytoplankton in the twelve freshwater rivers using qPCR. Therefore, the analysis of certain phytoplankton groups common in fresh water, such as Prochlorophyta and Xanthophyceae (which are two classes in the division Ochrophyta), was excluded from this work. We included 50, 50, and 66 sampling events in 2017, 2018, and 2019, respectively (166 in total) for qPCR (Table S1). We included biological duplicates for 25 out of the 166 sampling events. We collected river water samples for qPCR in autoclaved and bleached 500 mL polypropylene bottles and filtered the samples after collection using Nuclepore polycarbonate filters (Whatman/GE Healthcare, Piscataway, New Jersey, U.S.A.) (Graham et al., 2020). The filters with captured biomass were stored in tubes containing acid-washed glass beads (i.e., bead tubes) and shipped to our laboratory in Cincinnati, Ohio, for qPCR analysis.

We added 400 μL of 1 \times Tissue & Cell Lysis Solution (Epicentre Technologies Corp.; Madison, Wisconsin, U.S.A.) into each bead tube and stored the bead tubes at $-20\text{ }^{\circ}\text{C}$ before genomic DNA extraction. We lysed the stored cells by shaking the bead tubes for 1 min with a Mini-Beadbeater-16 (BioSpec Products, Inc.; Bartlesville, Oklahoma, U.S.A.). We then centrifuged the tubes at $12,000 \times g$ for 3 min at room temperature and transferred the supernatant containing DNA to sterile 1.5 mL microcentrifuge tubes. One microliter of Proteinase K ($50\text{ }\mu\text{g}\cdot\mu\text{L}^{-1}$, Epicentre Technologies Corp.) was mixed with the supernatant of each sample, and the mixture was incubated at $65\text{ }^{\circ}\text{C}$ for 15 min. Subsequently, 1 μL of RNase A ($5\text{ ng}\cdot\mu\text{L}^{-1}$; Epicentre Technologies Corp.) was added to each supernatant sample, and the mixture was incubated at $37\text{ }^{\circ}\text{C}$ for 30 min before 200 μL of MPC protein (Epicentre Technologies Corp.) was added. The final mixture was incubated on ice for 5 min before being centrifuged at $15,000 \times g$ for 10 min at room temperature. We then purified total genomic DNA from the supernatant using a ZymoBIOMICS™ DNA Miniprep Kit (Zymo Research; Irvine, California, U.S.A.). The DNA samples were quantified with a Qubit Fluorometer (Thermo Fisher Scientific Inc.; Waltham, Massachusetts, U.S.A.) and stored at $-20\text{ }^{\circ}\text{C}$ until use.

We determined the abundance of the nine phytoplankton groups via SYBR® Green qPCR assays on a QuantStudio™ 6 Flex Real-Time PCR System (Applied Biosystems™; Waltham, Massachusetts, U.S.A.) and a QuantStudio™ 5 Flex Real-Time PCR System (Applied Biosystems™) (Table S2). Each qPCR master mix (20 μL) contained (final concentration or volume) 10 μL of 2 \times qPCR SYBR® Green Master Mix (Life Technologies Co.; Carlsbad, California, U.S.A.), 0.5 μL of primers (each; 250 nM; Integrated DNA Technologies, Inc.; Coralville, Iowa, U.S.A.), 2 μL of DNA template, 2 μL of Bovine Serum Albumin solution ($0.1\text{ ng}\cdot\mu\text{L}^{-1}$, Life

Technologies Co.), and 5 μL of nuclease-free water. We diluted the original DNA extract for each sample ten times with molecular-grade water. Both the undiluted and the ten-fold diluted DNA extracts were used as qPCR templates. Assessing the DNA of the phytoplankton in both the undiluted and the ten-fold diluted DNA samples helped detect qPCR inhibition and operation errors (Zhang et al., 2021a).

The target genes were quantified relative to in-house constructed standard series. All qPCR standards were recombinant plasmid DNA with the same backbone (Invitrogen pCRTM4-TOPO® TA vector, Thermo Fisher Scientific Inc.). The insert for each qPCR standard was a conventional PCR product amplified with the same primer set for the corresponding qPCR assay (Table S2). Each qPCR plate contained a triplicate standard series (ten-fold serial dilution) with plasmid concentration ranging from 10^1 to 10^6 copies per microliter (2017 and 2018 samples) or from 10^0 to 10^6 copies per microliter (2019 samples). The phytoplankton abundance in the river water samples is expressed in gene or genome copy number per liter ($\text{GCN} \cdot \text{L}^{-1}$), where one “GCN” is equal to one copy of the qPCR amplicon. In most cases, each qPCR amplicon has one copy in the genome of each qPCR target (nine targets in total). However, each genome of *Microcystis* has one or two copies of the qPCR amplicon and each genome of *Synechococcus* has one, two, or three copies of the qPCR amplicon. The limit of detection (LOD) in the DNA extracts was $3 \text{ GCN} \cdot \mu\text{L}^{-1}$ for each qPCR assay.

2.4. Data filtering, analysis, and reporting

We processed the data for physicochemical water quality and microscope-based phytoplankton abundance according to Graham et al. (2020), Zuellig et al. (2021), and USGS (2022a). We filtered qPCR results (e.g., removal of data points with significant PCR inhibition, incorrect melt curves, and other issues) following Zhang et al. (2021a). If the concentrations of a qPCR target in the undiluted and the ten-fold diluted DNA extracts for a sampling event were both below $1 \text{ GCN} \cdot \mu\text{L}^{-1}$ or “undetermined” (i.e., no significant fluorescent signal after 40 qPCR cycles), we assumed that the concentration of that target was $1 \text{ GCN} \cdot \text{L}^{-1}$. The assumption was made because a zero value (i.e., $0 \text{ GCN} \cdot \text{L}^{-1}$) would complicate downstream data processing (especially the calculation of geometric means or GMs). If the concentration of a qPCR target in a DNA extract was below the LOD ($3 \text{ GCN} \cdot \mu\text{L}^{-1}$) but higher than $1 \text{ GCN} \cdot \mu\text{L}^{-1}$, we assumed that the concentration was accurate (Klymus et al., 2020; Kralik and Ricchi, 2017).

We used Microsoft Office’s Excel® (Professional Plus 2021; version 2112; Microsoft Corporation, Redmond, Washington, U.S.A.) for data management and figure plotting. We used SPSS® Statistics (version 26.0; The International Business Machines Corporation, Armonk, New York, U.S.A.) for statistical analysis, such as analysis of variance (ANOVA). The major assumptions for ANOVA, such as normal distributions, were also checked. A constrained canonical correspondence analysis (CCA) map was generated with an Excel® add-in XLSTAT (version 2019.2.2) (Addinsoft, 2022). The significance level was set at 0.05.

3. Results and discussion

3.1. Water quality conditions of the twelve rivers across the U.S.

Rivers are important, representative freshwater ecosystems, acting as a conveyor belt transporting nutrients and pollutants from the land to the oceans (Wang et al., 2018). Therefore, we utilized freshwater rivers to represent freshwater bodies. We strategically selected the twelve large freshwater rivers to include various geographic regions, stream drainage areas, and streamflow rates so that the rivers could be suggestive of the streams in the regions (Graham et al., 2020; Zuellig et al., 2021). Those rivers are in three major regions in the U.S.: the western region (two rivers), the midcontinent region (five rivers), and the eastern region (five rivers) (Table 1 and Figure 1).

The twelve rivers included both inland and coastal rivers. The drainage areas [arithmetic mean (AM) \pm standard deviation: $175,953 \pm 361,458 \text{ km}^2$] of the rivers ranged from $6,294 \text{ km}^2$ (the Chattahoochee River) to $1,353,269 \text{ km}^2$ (the Missouri River). From the water years of 2017 to 2019, the annual average volumetric flow rates ($1,230 \pm 1,510 \text{ m}^3 \cdot \text{s}^{-1}$) at the sampling sites ranged from $54 \text{ m}^3 \cdot \text{s}^{-1}$ (the Trinity River, 2017) to $5,870 \text{ m}^3 \cdot \text{s}^{-1}$ (the Ohio River, 2019). The USGS defines a water year as 12 months from October 1st for any given calendar year through September 30th of the following year.

The sampling sites in the twelve large rivers had substantially different water quality conditions from water years 2017 to 2019 (Table 1). The annual average suspended solids (SS) concentrations ($95.2 \pm 118.5 \text{ mg} \cdot \text{L}^{-1}$) ranged from $14.5 \text{ mg} \cdot \text{L}^{-1}$ (the Willamette River, 2017) to $402 \text{ mg} \cdot \text{L}^{-1}$ (the Missouri River, 2017). The annual average TN concentrations ($2,202 \pm 2,016 \text{ } \mu\text{g} \cdot \text{L}^{-1}$) ranged from $315 \text{ } \mu\text{g} \cdot \text{L}^{-1}$ (the Sacramento River, 2019) to $8,040 \text{ } \mu\text{g} \cdot \text{L}^{-1}$ (the Trinity River, 2017). From the water years of 2017 to 2019, the annual

average TP concentrations ($201 \pm 221 \mu\text{g}\cdot\text{L}^{-1}$) ranged from $46 \mu\text{g}\cdot\text{L}^{-1}$ (the Connecticut River, 2019) to $833 \mu\text{g}\cdot\text{L}^{-1}$ (the Trinity River, 2017). The summer median concentrations of TN and TP for rivers in 14 ecoregions across the U.S. ranged from 248 to $3,372 \mu\text{g}\cdot\text{L}^{-1}$ ($1,173 \pm 839 \mu\text{g}\cdot\text{L}^{-1}$) and from 21 to $184 \mu\text{g}\cdot\text{L}^{-1}$ ($89 \pm 52 \mu\text{g}\cdot\text{L}^{-1}$), respectively (Dodds et al., 2009). Therefore, the TN and TP concentrations at the sampling sites in the twelve rivers encompassed ranges of nutrient conditions of rivers in various ecoregions across the U.S.

The sampling sites in the three regions had significantly different TN and TP concentrations (one-way ANOVA, p -values < 0.001). Tukey *post hoc* tests revealed that TN and TP concentrations were significantly higher at the sampling sites in the midcontinent rivers than those in the eastern and western rivers (p -values ≤ 0.001). However, both TN and TP concentrations were comparable at the sampling sites in the western and eastern rivers (p -values > 0.5). Nutrient concentration is a commonly used indicator for the trophic status of natural surface waterbodies (Dai et al., 2016; Huo et al., 2013; Knoll et al., 2015; Yao et al., 2019). Therefore, on the basis of nutrients, the sampling sites in the midcontinent rivers were more eutrophic than those in the eastern and western rivers. By contrast, the sampling sites in the eastern and western rivers had similar trophic statuses. In addition, the sampling sites in the three regions had significantly different SS concentrations (one-way ANOVA, p -value = 0.001). The sampling sites in the midcontinent rivers had significantly higher SS concentrations than those in the western and eastern rivers (Turkey *post hoc* tests, p -values < 0.011). By contrast, the sampling sites in the western and eastern rivers had comparable SS concentrations (Turkey *post hoc* test, p -value > 0.05). Previous studies similarly found that rivers in the midcontinent region or the midwest region in the U.S. typically had higher nutrient

concentrations and poorer water quality than rivers in the western and eastern regions (Graham et al., 2020; Smith et al., 1997; Stets et al., 2015; Zuellig et al., 2021). The higher nutrient concentrations in midcontinent rivers could be due to various reasons, both natural and anthropogenic (Khatri and Tyagi, 2015). For instance, the more intensive agricultural activities (higher use of fertilizers with nitrogen and phosphorus) in the midcontinent region might have contributed to the higher river water nutrient concentrations in that region (Najwah and Philip, 2021; Stets et al., 2015). Soils in the midcontinent region are also naturally nutrient rich (Omernik, 1987; Omernik and Griffith, 2014; U.S. EPA, 2022). In addition, land use and climate changes are stronger in the midcontinent region (Chapin et al., 1997). Furthermore, the sampling site in the Trinity River in the midcontinent region had the highest nutrient concentrations in the current study, which could be because the sampling site was downstream of a municipal wastewater treatment plant. This site was built by the USGS and has been sampled for decades. In addition, no USGS sampling site upstream of the treatment plant exists. Other sampling sites were not strongly affected by wastewater. Future studies need to explore further the reasons for the higher nutrient concentrations in rivers in the midcontinent region.

This study used nutrient (primarily TN and TP) levels as the surrogate of eutrophication (de Jonge et al., 2002; Harper, 1992; Richardson and Jørgensen, 1996). TN and TP are widely used indicators of eutrophication in waterbodies (Dai et al., 2016; Huo et al., 2013; Knoll et al., 2015; Yao et al., 2019). Widely accepted TN concentration boundaries for delineating oligotrophic from mesotrophic streams and mesotrophic from eutrophic streams are 700 and 1,500 $\mu\text{g}\cdot\text{L}^{-1}$, respectively (Dodds et al., 1998). TP concentration boundaries for delineating oligotrophic from mesotrophic streams and mesotrophic from eutrophic streams are 25 and

75 $\mu\text{g}\cdot\text{L}^{-1}$, respectively. On the basis of these TN and TP concentration boundaries, trophic conditions varied among the sampling sites in the twelve rivers (Table 1). The sampling site in the Chattahoochee River in the eastern region and all sampling sites in the midcontinent region were eutrophic sites. The sampling site in the Susquehanna River in the eastern region and the sampling site in the Willamette River in the western region were mesotrophic sites. In the eastern region, the Delaware River sampling site and the Hudson River sampling site were mesotrophic (indicated by TN concentrations) to eutrophic (indicated by TP concentrations). The sampling site in the Connecticut River in the eastern region and the sampling site in the Sacramento River in the western region were oligotrophic (indicated by TN concentrations) to mesotrophic (indicated by TP concentrations).

Because the sampling sites in the twelve rivers covered a wide range of geographical regions, stream drainage areas, streamflow rates, nutrient concentrations, and trophic conditions, our study locations suggested a wide diversity of freshwater river conditions across the U.S. Therefore, the findings of the current work can suggest the general conditions of freshwater rivers in the U.S.

3.2. qPCR as a useful numerical tool to assess phytoplankton

We compared the qPCR- and microscope-based abundance (Graham et al., 2020; Graham et al., 2021; Graham et al., 2022; King et al., 2020a) for eight phytoplankton groups at the sampling sites in the twelve rivers in 2017, 2018, and 2019 (Figure 2): Bacillariophyta, Cyanobacteria, Chlorophyta, Dinoflagellates, *Dolichospermum*, *Microcystis*, *Planktothrix*, and *Synechococcus*. *C. raciborskii* was excluded from this analysis because it was not identified by microscopy. In this comparison, the microscope-based phytoplankton

abundance is in natural units because the abundance in cell counts is available for only the 2018 and 2019 samples. Overall, the qPCR- and microscope-based phytoplankton abundance had a significant positive linear correlation (adjusted $R^2 = 0.836$, p -value < 0.001 , $n = 815$) (Equation 1).

$$A_{\text{qPCR}} = 1.176 \times A_{\text{Microscopy}} + 0.803 \quad (\text{Equation 1})$$

where A_{qPCR} is the qPCR-based phytoplankton abundance [$\log_{10}(\text{GCN} \cdot \text{L}^{-1})$] and $A_{\text{Microscopy}}$ is the microscope-based phytoplankton abundance [$\log_{10}(\text{NU} \cdot \text{L}^{-1})$].

For each of the eight phytoplankton groups, the linear correlation between qPCR- and microscope-based abundance was also statistically significant with a relatively large R -squared value (average R -squared value 0.556) (Table 2). These results suggest that qPCR is a feasible method of assessing phytoplankton abundance and community composition in the twelve rivers and presumably general natural surface waterbodies. Future studies need to evaluate and confirm the feasibility of qPCR for phytoplankton assessment in other waterbodies.

For most sampling events, the qPCR-based phytoplankton abundance was equal to or higher than the microscope-based abundance (Table 2 and Figure 2). Indeed, the overall linear regression between qPCR- and microscope-based phytoplankton abundance showed higher qPCR-based abundance (Equation 1). Four reasons can explain the higher qPCR-based abundance. First, qPCR could

overestimate the abundance of phytoplankton by detecting extracellular DNA in the river water samples (Bairoliya et al., 2021; Liang and Keeley, 2013; Nagler et al., 2018; Nielsen et al., 2007). Second, phytoplankton cells with significant morphology changes are challenging to pick up during microscopic analysis, whereas qPCR accurately enumerates those cells. Similarly, small phytoplankton cells (sizes less than 3 μm) and rare phytoplankton taxa can escape the detection of microscopy but can be easily quantified by qPCR (Brewin et al., 2014; Graham et al., 2020; Malashenkov et al., 2021; Xiao et al., 2014). For instance, *Synechococcus* cells are small, and the linear correlation between qPCR- and microscope-based *Synechococcus* abundance is the weakest (i.e., having the smallest adjusted R -squared value among the eight phytoplankton groups). Therefore, microscopy might underestimate phytoplankton abundance. For example, we generated 1,304 pairs of data points for the qPCR- and microscope-based phytoplankton abundance from 163 sampling events in 2017, 2018, and 2019. For 477 out of the 1,304 pairs of data points, qPCR detected phytoplankton, while microscopy failed to detect phytoplankton (Figure 1). By contrast, in only 12 of the 1,304 pairs of data points, microscopy detected phytoplankton, while qPCR failed to recover phytoplankton. Interestingly, 11 of those 12 pairs of data points were for *Planktothrix*, where the microscope-based *Planktothrix* abundance was in the range from 2.31 to 3.91 $\log_{10}(\text{NU} \cdot \text{L}^{-1})$. This finding indicates that the qPCR assay targeting *Planktothrix* (Table S2) often underestimates the abundance, and an updated, more accurate qPCR assay for *Planktothrix* is needed. Third, we determined phytoplankton abundance using microscopy and qPCR in single-composite samples and near-surface grab samples, respectively. The different samples for microscopic and qPCR analyses could be another potential reason for the higher qPCR-based phytoplankton abundance. Lastly, in the current study, we quantified phytoplankton in natural units using microscopy (Graham et al., 2020). In the cases of colonies and filaments, multiple cells comprise a natural unit because a natural unit

does not include the enumeration of individual cells (BS EN 15204:2006, 2006; Graham et al., 2020). Therefore, for colonies and filaments, the number of natural units determined by microscopy would be lower than the number of genome copies determined by qPCR (Figure 2, Table 2, and Equation 1). For the 2019 samples, we estimated the number of cells in colonies and filaments and calculated the total number of cells in each natural unit of phytoplankton. We then compared the qPCR-based phytoplankton abundance ($\text{GCN} \cdot \text{L}^{-1}$) and microscope-based phytoplankton abundance ($\text{cells} \cdot \text{L}^{-1}$). The abundance showed a strong positive linear correlation with comparable unstandardized coefficient and constant values to the ones in Equation 1 (data not shown). As a result, we believe the first three explanations would be more important reasons for the higher qPCR-based phytoplankton abundance.

Microscopy and qPCR both have strengths and shortcomings (Pacheco et al., 2016; Xiao et al., 2014). For instance, a microscope is easy to operate (i.e., a low technology threshold) and directly describes phytoplankton morphology. However, microscopic analysis is time-consuming, has low throughput, and requires taxonomic or morphology experience. qPCR has high throughput and requires no taxonomic knowledge. However, qPCR fails to describe phytoplankton morphology and viability and does not cover all phytoplankton taxa. In addition, the sensitivity, efficiency, and accuracy of qPCR are compromised by DNA isolation bias, DNA amplification bias, and inhibitors (such as humic acid in environmental samples) (Acharya et al., 2017; Dechesne et al., 2016; Lima et al., 2022; Opel et al., 2010). Furthermore, a specific qPCR assay (i.e., a specific primer set and a specific thermocycling condition) can detect only one phytoplankton group (or closely related groups). To detect multiple phytoplankton groups, one needs to use multiple qPCR assays. Therefore, abandoning microscopy and adopting qPCR as the sole method for phytoplankton assessment is inadequate. Instead,

combining use of qPCR and microscopy would more comprehensively reveal phytoplankton abundance and community structure and provide deeper insights into phytoplankton dynamics in freshwater bodies (Malashenkov et al., 2021).

3.3. Low temporal variation in phytoplankton abundance

Phytoplankton abundance had limited temporal variation at the sampling sites in the twelve rivers within each sampling season. At each sampling site, the qPCR-based phytoplankton abundance fluctuated within each sampling season (Figures S1 and S2). No universal trend in the temporal variation of phytoplankton abundance across the twelve sampling sites is observed. In addition, at some sampling sites, the patterns of the temporal variation of phytoplankton abundance changed substantially across in the three years studied. For instance, the phytoplankton abundance at the sampling site in the Kansas River increased during the 2017 sampling season, decreased during the 2018 sampling season, and was relatively stable during the 2019 sampling season (Figures S1D and S2D). Future studies need to explore the underlying reasons for the distinct temporal variation of phytoplankton abundance both within and among sampling sites across years.

Even though phytoplankton abundance fluctuated within each sampling season, it was generally stable (Figures S1 and S2). The relatively stable phytoplankton abundance over time within each sampling season was presumably because the sampling period was from early summer to late fall, covering primarily the warm seasons when phytoplankton abundance is typically high. Future studies should extend the sampling period to assess the seasonal variation in phytoplankton abundance in these twelve rivers.

Phytoplankton abundance also had limited temporal variation throughout the three years studied (2017, 2018, and 2019). The qPCR-based phytoplankton abundance was comparable over the three years (Figure 3). Indeed, repeated measures ANOVA indicated that the annual GM concentrations were comparable for most phytoplankton groups across all three years at the sampling sites in eleven rivers (Table S3). The sampling site in the Trinity River was excluded from the analysis because the microscope-based phytoplankton abundance for that site was available for only 2019. Therefore, phytoplankton at the sampling sites in the eleven rivers occurred from early summer to late fall at comparable annual abundance. The comparable annual phytoplankton abundance indicated that the environmental conditions (i.e., drivers of phytoplankton community dynamics) for these sampling sites did not vary substantially from 2017 to 2019 (Stomp et al., 2011). Other factors might also contribute to the comparable annual phytoplankton abundance over the three years and should be explored in future studies. In other freshwater bodies, clear temporal (interannual) trends in phytoplankton abundance and community dynamics existed, which could be due to the substantial changes in local and global environmental conditions such as nutrient input, water regime, and global warming (Alvarez-Cobelas et al., 2019; Chen et al., 2003; Hardenbicker et al., 2014; Verasztó et al., 2010; Znachor et al., 2020). To assess the sustained responses of phytoplankton community dynamics to changes in local and global environmental conditions such as eutrophication management (e.g., nutrient loading reduction efforts) and global climate change, we need to conduct decadal or even multidecadal studies (Duarte et al., 2009; Gallegos et al., 2010). Studying the phytoplankton communities in a large number of waterbodies at the same time with varying local environmental conditions, such as different nutrient inputs, is also needed.

3.4. Moderately higher phytoplankton abundance in the midcontinent rivers

The sampling sites in the midcontinent rivers generally had higher phytoplankton abundance than the sites in the eastern and western rivers (Figures 1, 4, and S3 to S6). For instance, the GM concentration of Bacillariophyta, Cyanobacteria, Chlorophyta, and Dinoflagellates at the sampling sites in the midcontinent rivers [$7.31 \log_{10}(\text{GCN} \cdot \text{L}^{-1})$] was approximately three times that at the sampling sites in the western rivers [$6.81 \log_{10}(\text{GCN} \cdot \text{L}^{-1})$] and approximately 18 times that at the sampling sites in the eastern rivers [$6.06 \log_{10}(\text{GCN} \cdot \text{L}^{-1})$]. Welch's ANOVA indicated that the \log_{10} -transformed GM abundance of phytoplankton at the sampling sites in the midcontinent rivers was significantly higher than that at the sampling sites in the eastern rivers (p -value = 0.013) but was comparable to that at the sampling sites in the western rivers (p -value = 0.095) (Table S4). Additionally, the \log_{10} -transformed GM abundance of phytoplankton at the sampling sites in the eastern and western rivers was comparable (p -value = 0.949). In conclusion, the sampling sites in the midcontinent rivers had higher phytoplankton abundance than the sampling sites in the western rivers and especially the sampling sites in the eastern rivers. A study similarly found that midcontinent lakes in the U.S. had higher phytoplankton species richness than other regions (Stomp et al., 2011). The higher phytoplankton abundance at the sampling sites in the midcontinent rivers was presumably due to the higher TN and TP concentrations at those sites (Table 1). Rivers in the midcontinent region typically have higher nutrient levels because soils in that region are naturally rich in nutrients and that region has more intensive agricultural activities (Omernik, 1987; Omernik and Griffith, 2014; Stets et al., 2015; U.S. EPA, 2022).

3.5. The positive correlation between nutrient concentrations and phytoplankton abundance

We investigated how critical physicochemical parameters (mainly nutrients) shaped the phytoplankton community at the sampling sites in the twelve large, freshwater rivers across the U.S. (Figure S7). Phytoplankton abundance was often significantly and linearly correlated with nutrient concentrations, water temperature, and water pH (p -values < 0.05) (Table S5). In addition, a constrained CCA map showed that phytoplankton abundance (except for *Planktothrix*) was associated with intermediate or high nutrient concentrations and water temperatures (Figure 5). For instance, Bacillariophyta, Cyanobacteria, Chlorophyta, and Dinoflagellates were associated with intermediate levels of ammonium/ammonia, nitrite, nitrate, dissolved organic nitrogen, and orthophosphate phosphorus. Similarly, phytoplankton abundance in 540 freshwater lakes and reservoirs across the U.S. had significant positive linear correlations with both TN and TP concentrations (p -values < 0.001). By contrast, water temperature had a significant positive linear correlation with phytoplankton richness (p -value < 0.001) (Stomp et al., 2011). Additionally, the CCA map shows that the stream flow rate at the sampling sites was a driver for *Planktothrix*. Therefore, the phytoplankton community at the sampling sites in the twelve rivers was shaped by the critical physicochemical parameters (mainly nutrients), indicating local environmental conditions are the main drivers for phytoplankton community dynamics in rivers.

The correlations between phytoplankton abundance and the tested physicochemical parameters were generally weak, as indicated by the small R -squared values (Table S5). The low degrees of correlations indicated that the tested physicochemical parameters explained a small portion of the total variation in phytoplankton abundance. Indeed, the first and second axes in the constrained CCA map

explained only 23.52% and 5.96% of the total inertia, respectively (Figure 5). Therefore, some environmental parameters not tested in the current study might have more significantly affected phytoplankton abundances, such as light intensity, wind intensity, precipitation, stormwater runoff, and biological agents (predators and competitors) (Affronti Jr and Duquette, 2007; Cao et al., 2018; Elser and Hassett, 1994; Feng et al., 2021; Hunt and Matveev, 2005; Jones and Redfield, 1984; Kiefer and Cullen, 1991; Reichwaldt et al., 2004; Rhee and Gotham, 1981; Schelske et al., 1995; Silva et al., 2019; Striebel et al., 2008; Webster, 1990). Future studies should include more physicochemical parameters when determining how environmental conditions shape phytoplankton communities. The low degrees of correlations could also be because those critical physicochemical parameters were determined only several times during each sampling season (Table S1) and thus might not reflect the overall water quality (e.g., trophic conditions) at the sampling sites. The annual average TN and TP concentrations (numerous sampling events included) at the sampling sites could more accurately indicate the water quality at those sites. Indeed, phytoplankton abundance had positive linear correlations with the twelve sampling sites' annual average TN and TP concentrations (Figure 6). Compared with TN, TP explained more variation in phytoplankton abundance. Specifically, TN and TP individually explained 26.1% and 38.9% of the total variation in the \log_{10} -transformed GM abundance of phytoplankton, respectively. In a multiple linear regression model, TN and TP significantly predicted the \log_{10} -transformed GM abundance of phytoplankton (adjusted $R^2 = 0.385$, p -value < 0.001 , $n = 34$) at the sampling sites (Equation 2).

$$\log_{10} A_{\text{GM}} = 0.266 \times \log_{10} C_{\text{TN}} + 0.766 \times \log_{10} C_{\text{TP}} + 4.268 \quad (\text{Equation 2})$$

where A_{GM} is the annual GM of the qPCR-based abundance of four major phytoplankton groups (Bacillariophyta, Cyanobacteria, Chlorophyta, and Dinoflagellates) for individual sampling sites ($GCN \cdot L^{-1}$), C_{TN} is the annual AM of TN concentrations for individual sampling sites ($\mu g \cdot L^{-1}$), and C_{TP} is the annual AM of TP concentrations for individual sampling sites ($\mu g \cdot L^{-1}$).

The large multiple correlation coefficient (R) of 0.650 indicated a good level of prediction. The significant positive correlations between phytoplankton abundance and nutrient concentrations further suggested that qPCR-based phytoplankton abundance can indicate freshwater river trophic conditions. Even though nutrients explain a substantial amount of the variation in phytoplankton abundance, they are not the only factors affecting phytoplankton growth. For instance, the CCA map (Figure 5) indicates that phytoplankton abundance was affected by multiple other environmental variables, such as stream flow rate, water temperature, and water pH.

3.6. qPCR as a numerical tool for assessing phytoplankton abundance and trophic conditions of freshwater rivers

qPCR is a useful numerical tool for assessing phytoplankton and indicating trophic conditions of freshwater rivers. First, as stated above, microscopy and other approaches, such as pigment-based assays, for assessing phytoplankton have multiple shortcomings. qPCR, a widely used molecular technique, has many advantages over conventional microscopy and other phytoplankton evaluation assays. This study demonstrated that qPCR- and microscope-based phytoplankton abundance at the sampling sites in the rivers had a

strong positive linear correlation (Figure 2, Table 2, and Equation 1). Even though qPCR cannot and should not replace microscopy for phytoplankton assessment, it is a proper alternative technique to microscopy for identifying and quantifying phytoplankton.

Second, phytoplankton abundance indicates river trophic conditions. Trophic state index (TSI) is a common and widely accepted indicator for the trophic status of natural surface waterbodies (Bilgin, 2020; Hu et al., 2021; Kiersztyn et al., 2002). TSI can be based on transparency (i.e., Carlson's TSI) (Carlson, 1977), chlorophyll *a* concentration (i.e., the modified Carlson's TSI) (Aizaki et al., 1981), and other biological, chemical, and physical indicators such as COD, TN concentration, and TP concentration (Lopes et al., 2019; Primpas et al., 2010; Xu et al., 2001). No matter how TSI is determined or calculated, it is the numerical indicator for surface water trophic status (Lopes et al., 2019; Neverova-Dziopak et al., 2023; Yang et al., 2016). Overgrowth of phytoplankton (such as Cyanobacteria and algae) is the hallmark symptom or distinctive biological consequence of eutrophication (González and Roldán, 2019; Qin et al., 2013; Sari et al., 2022; Xu et al., 2010; Yang et al., 2021a). Therefore, phytoplankton abundance and TSI have an inherent positive correlation (Adamovich et al., 2016; Caputo et al., 2008; Dembowska et al., 2015). Altogether, phytoplankton abundance, which can be feasibly determined via qPCR, is a useful indicator of the trophic conditions of natural surface waterbodies.

Third, qPCR is a promising molecular tool for assessing phytoplankton and, thus, the trophic conditions of natural surface waterbodies. The growth or overgrowth of phytoplankton, especially Cyanobacteria, is the most direct and significant biological consequence of eutrophication in freshwater bodies (Wilhelm et al., 2004). Multiple assays are available to assess phytoplankton in fresh water. These

assays mainly include chlorophyll *a* concentration determination. Chlorophyll *a* is a photosynthetic pigment in all phytoplankton (Chew and Bryant, 2007; Gregor and Maršálek, 2004; Patel, 2011) and a good proxy for phytoplankton biomass, water quality, and eutrophication (Boyer et al., 2009; Chen and Chen, 2021; Filazzola et al., 2020; Guo et al., 2018; Xia and Zeng, 2021). Nonetheless, chlorophyll *a* assessment as a first-tier tool fails to provide information on phytoplankton community composition (Almuhtaram et al., 2021; Garmendia et al., 2013). Other commonly used approaches for phytoplankton assessment such as microscopy, fatty-acid-based procedures, flow cytometry, and next-generation sequencing, also have limitations. Therefore, a more feasible approach to assessing phytoplankton and indicating freshwater trophic status is highly needed. qPCR determines not only the abundance but also the community structure of phytoplankton. qPCR is also powerful in monitoring potential cyanotoxin producers and predicting cyanotoxin production (Duan et al., 2022; Lu et al., 2020; Pacheco et al., 2016). Moreover, the qPCR-based phytoplankton abundance was significantly correlated with nutrient (i.e., TN and TP) levels (Figures 5 and 6 and Equation 2). As a result, qPCR-based phytoplankton abundance is a useful indicator of the trophic conditions and health of freshwater bodies. However, caution should be taken for waterbodies with very high nutrient concentrations because hyper-eutrophication could inhibit phytoplankton's growth and primary production (Filstrup and Downing, 2017; Graham et al., 2004a; Parker et al., 2012; Yoshiyama and Sharp, 2006). In addition, nutrient concentration is a commonly used surrogate for freshwater trophic conditions, but the correlation between phytoplankton abundance and nutrient concentrations is weak (Figure 6). The weak correlation was mainly because other environmental parameters besides nutrients play an essential role in shaping the phytoplankton community (Figure 5). Therefore, the indicative role of qPCR for the trophic conditions and health of freshwater bodies should be more comprehensively assessed in future studies.

Phytoplankton community structure is complex, and quantifying all phytoplankton taxa using qPCR is labor-intensive, time-consuming, and perhaps impossible. Supposedly, a selected phytoplankton taxon can serve as an indicator of other phytoplankton. In this case, one may use qPCR to assess the selected taxon to represent the phytoplankton community and to indicate freshwater trophic status, reducing the workload and increase the feasibility of qPCR. Cyanobacteria are a common and frequently dominant phytoplankton division in many freshwater bodies and often form (harmful) cyanobacterial blooms during warm seasons (Duan et al., 2022; Lu et al., 2020; O'Neil et al., 2012; Paerl et al., 2001). The relatively high abundance of Cyanobacteria makes their detection, either through microscopy or qPCR, feasible. Cyanobacterial abundance can also potentially indicate cyanotoxin production in waterbodies. We thus hypothesized that Cyanobacteria can be an indicator of the phytoplankton community. To test this hypothesis, we first tested whether the abundance of Cyanobacteria was comparable to that of other phytoplankton. Statistical analysis indicated that the qPCR-based Cyanobacteria abundance was significantly higher than the other three major phytoplankton groups (Bacillariophyta, Chlorophyta, and Dinoflagellates) (Table S6). Therefore, Cyanobacteria were a dominant phytoplankton group at the sampling sites in the twelve freshwater rivers (Figures 3A and 4A). In addition, Cyanobacterial abundance had a significant positive linear correlation with other tested phytoplankton groups (except for *C. raciborskii*, which might have a different growth pattern than other common Cyanobacterial species) (Table S7). Therefore, a high or low abundance of Cyanobacteria suggests that the abundance of other phytoplankton is high or low, respectively. As a result, as a representative and common phytoplankton division, Cyanobacteria can predict and indicate the occurrence and abundance of other important phytoplankton. Using qPCR to detect and

quantify Cyanobacteria could be a simple, fast, and effective way to assess the phytoplankton community and trophic conditions of freshwater rivers (or riverine systems). However, it is worth noting that Cyanobacterial assessment is only a rough method to indicate the whole phytoplankton community. With time and resources permitting, other phytoplankton groups should also be assessed.

3.7. Limitations and future work

We sampled twelve large, freshwater rivers throughout the U.S. to capture a wide range of streamflow, water quality, and phytoplankton community conditions. Our study demonstrated that qPCR works well to assess phytoplankton abundance and to indicate river trophic status across the wide range of conditions. Our study design leveraged an ongoing sampling effort within the USGS NWQN. While this study design was adequate for method (i.e., qPCR) validation, it would not be valid to determine reach-level or ecosystem-level trophic status. Future studies designed to evaluate river trophic status need to compare traditional metrics with qPCR. qPCR cannot be incorporated into trophic status evaluations until further research and comparisons have been completed.

Three limitations of this study should be addressed by future research. First, we sampled from two, five, and five rivers from the western, midcontinent, and eastern regions of the U.S., respectively (Table 1 and Figure 1). Results based on the low number of rivers, especially in the western region, might need to be more representative. Future studies should include more rivers in each region to represent the stream conditions better. Second, we sampled from one site in each river. The ecosystem of each river is highly dynamic longitudinally. Water quality parameters, environmental conditions, and phytoplankton community composition vary significantly

along each river. Therefore, one sampling site cannot represent the entire ecosystem of a river. Phytoplankton abundance and community composition determined in the current work thus represent only the sampling sites rather than the entire rivers. Future studies aiming to assess the spatial variation of phytoplankton in these rivers should include multiple sampling sites along each river. Third, we leveraged an ongoing USGS NWQN sampling effort (USGS, 2022a) to conduct this study and added additional analyses to routinely collected samples within the NWQN. In the NWQN, the sampling effort differed for the regions or rivers. Therefore, the number of samples in the current work was unequal across the different regions or rivers. Future studies comparing phytoplankton abundance and composition in different regions and/or rivers need to ensure an equal sampling effort.

4. Conclusions

This work is the first qPCR-based, large-scale, multi-year, comprehensive study on the occurrence and abundance of phytoplankton in freshwater rivers across the U.S. This study demonstrated that qPCR is a useful molecular tool to quantify phytoplankton and assess eutrophication in freshwater rivers. Using qPCR and microscopy, we assessed dominant phytoplankton at the sampling sites in twelve large, freshwater rivers across the U.S. from early summer to late fall in 2017, 2018, and 2019. Phytoplankton abundance varied little within each sampling season and throughout the three years studied. The sampling sites in the midcontinent rivers had moderately higher phytoplankton abundance than the sampling sites in the eastern and western rivers primarily because the sampling sites in the midcontinent rivers were more eutrophic (i.e., higher TN and TP concentrations). Phytoplankton abundance was comparable at the sampling sites in the eastern and western rivers studied. The qPCR- and microscope-based phytoplankton abundance had a significant

positive linear correlation. In addition, qPCR-based phytoplankton abundance had significant positive correlations with nutrient levels, a surrogate measure of eutrophication. Therefore, qPCR is a useful molecular tool to determine phytoplankton abundance and indicate freshwater rivers' trophic conditions and quality. Finally, Cyanobacteria had a much higher abundance and significant positive linear correlations with other phytoplankton. Therefore, Cyanobacteria as a dominant phytoplankton group can be potentially used as an indicator representing the phytoplankton community and inferring trophic conditions in freshwater rivers.

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Declaration of Interest Statement

All authors claim that no actual or potential conflict of interest exists in relation to this study.

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Table 1. The twelve large, freshwater rivers across the United States

River (region)	Sampling site (USGS station number)	Drainage area (km ²) ^a	Water temperature (°C) ^c	Flow rate (m ³ ·s ⁻¹) ^c	SS (mg·L ⁻¹) ^e	TN (µg N·L ⁻¹) ^e	TP (µg P·L ⁻¹) ^e
Sacramento (W)	Freeport, CA (11447650)	61,445 ^b	15.2 16.0 15.3	1,128 493 897	35.6 34.5 33.4	318 317 315	61 60 59
Willamette (W)	Portland, OR (14211720)	29,008	13.0 13.5 13.5	1,243 792 665	14.5 14.6 14.6	767 778 790	62 62 62
Trinity (M)	Dallas, TX (08057410)	16,260	22.6 22.1 26.3	54 66 206	85.1 80.9 76.8	8,040 7,800 7,570	833 777 722
Kansas (M)	De Soto, KS (06892350)	154,767	15.9 17.4 14.5	226 83 619	284 266 249	1,880 1,800 1,730	466 459 452
Missouri (M)	Hermann, MO (06934500)	1,353,269	16.7 15.8 13.6	2,589 2,284 5,505	402 401 399	2,710 2,790 2,880	425 425 425
Ohio (M)	Cannelton, IN (03303280)	251,229	NA NA NA	3,769 5,004 5,870	80.4 81.0 81.7	1,640 1,640 1,640	171 172 174
Mississippi (M)	Hastings, MN (05331580)	96,089	NA NA NA	708 756 1,053	40.6 38.4 36.4	4,290 4,380 4,480	112 109 106
Chattahoochee (E)	Whitesburg, GA (02338000)	6,294	NA NA NA	70 107 172	74.5 73.3 72.0	2,710 2,750 2,800	89 90 90
Susquehanna (E)	Conowingo, MD (01578310)	70,189	NA NA NA	1,122 1,561 1,757	17.1 17.0 17.0	1,470 1,460 1,450	50 49 48
Delaware (E)	Trenton, NJ (01463500)	17,560	13.6 13.3 13.3	287 406 567	17.4 17.9 18.4	1,210 1,220 1,230	79 81 84
Hudson (E)	Poughkeepsie, NY (01372043)	30,303	NA NA NA ^d	692 630 NA ^d	NA NA NA	942 928 859	93 88 76
Connecticut (E)	Thompsonville, CT (01184000)	25,019	NA NA NA	490 506 675	22.6 22.5 22.9	569 567 564	47 47 46

W: Western region. M: Midcontinent region. E: Eastern region. USGS: The United States Geological Survey. CA: California. OR:

Oregon. TX: Texas. KS: Kansas. MO: Missouri. IN: Indiana. MN: Minnesota. GA: Georgia. MD: Maryland. NJ: New Jersey. NY:

New York. **CT**: Connecticut. **SS**: Suspended solids. **TN**: Total nitrogen. **TP**: Total phosphorus. Except for the case of the sampling site in Poughkeepsie, NY, the concentrations of SS, TN, and TP are annual flow-normalized concentrations [computing assay: the Weighted Regressions on Time, Discharge, and Season (WRTDS) generalized flow-normalization method]. For the case of the sampling site in Poughkeepsie, NY, we calculated the concentrations of SS, TN, and TP manually using the discrete water-quality results. **NA**: Not available. ^aSource: <https://nrtwq.usgs.gov/nwqn/#/NET> except for the sampling site in Freeport, CA. ^bSource: Graham et al. (2020). ^cSource: <https://nwis.waterdata.usgs.gov/nwis> except for the sampling site in Poughkeepsie, NY. ^d and ^eSource: <https://nrtwq.usgs.gov/nwqn/#/NET>. ^c, ^d, and ^eIn each cell, the values for 2017, 2018, and 2019 are listed from left to right and pipe delimited. The “2017,” “2018,” and “2019” are water years. The USGS defines a water year to be a 12-month period from October 1st in any given calendar year through September 30th in the following calendar year. The water year is designated by the calendar year in which it ends. ^c, ^d, and ^eWater quality parameters at the sampling sites.

Table 2. The linear correlations between qPCR- and microscope-based phytoplankton abundance at the sampling sites in the twelve large, freshwater rivers

	Bacill.	Cyanobacteria	Dinoflagellates	Dolichospermum	Microcystis	Planktothrix	Synechococcus	Chlorophyta
R²;	0.452; <	0.359; <	0.602; <	0.836; < 0.001*	0.901; <	0.963; <	0.107; 0.005*	0.224; <
p	0.001*	0.001*	0.001*		0.001*	0.001*		0.001*
B;	0.989; <	0.427; <	1.049; <	1.509; < 0.001*	1.337; <	1.309; <	0.393; 0.005*	0.430; <
p	0.001*	0.001*	0.001*		0.001*	0.001*		0.001*
C;	1.388;	5.475; <	1.759; <	0.383; 0.217	0.107;	0.040; 0.549	4.832; <	4.780; <
p	0.004*	0.001*	0.001*		0.480		0.001*	0.001*

Tables

<i>n</i>	163	141	60	62	55	115	64	155
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Statistical analysis: SPSS. Test: Simple linear regression. Sampling time: Early summer to late fall in 2017, 2018, and 2019.

Independent variable: Microscope-based phytoplankton abundance [unit: $\log_{10}(\text{NU} \cdot \text{L}^{-1})$] at the sampling sites. Dependent variable:

qPCR-based phytoplankton abundance [unit: $\log_{10}(\text{GCN} \cdot \text{L}^{-1})$] at the sampling sites. One sampling site was selected from each river.

Before the test, data points meeting the following two criteria were deleted (pairwise deletion): 1) For a target phytoplankton group at

any sampling event, the qPCR-based phytoplankton abundance is 0 $\log_{10}(\text{GCN} \cdot \text{L}^{-1})$, while the microscope-based abundance is higher

than 0 $\log_{10}(\text{NU} \cdot \text{L}^{-1})$; and 2) For a target phytoplankton group at any sampling event, the microscope-based phytoplankton abundance

is 0 $\log_{10}(\text{NU} \cdot \text{L}^{-1})$, while the qPCR-based abundance is higher than 0 $\log_{10}(\text{GCN} \cdot \text{L}^{-1})$. For a target phytoplankton group at a sampling

event, if the microscope-based abundance is 0 $\log_{10}(\text{NU} \cdot \text{L}^{-1})$ and the qPCR-based abundance is 0 $\log_{10}(\text{GCN} \cdot \text{L}^{-1})$, the data points were

both kept. ***R*²**; ***p***: The adjusted *R*-squared and *p*-value for the overall regression model, respectively. ***B***; ***p***: The unstandardized

coefficient and *p*-value for the independent variable, respectively. ***C***; ***p***: The constant and the associated *p*-value, respectively. ***n***:

Sample size. *: Significance (*p*-value < 0.05). GCN: Gene or genome copy number. NU: Natural units (cells, colonies, or filaments).

Bacill.: Bacillariophyta (division). **Cyanobacteria**: Division. **Dinoflagellates**: Class. **Chlorophyta**: Specific to three classes in the

division Chlorophyta (Chlorophyceae, Trebouxiophyceae, and Klebsormidiophyceae). **Dolichospermum**, **Microcystis**, **Planktothrix**,

and **Synechococcus**: Genera.

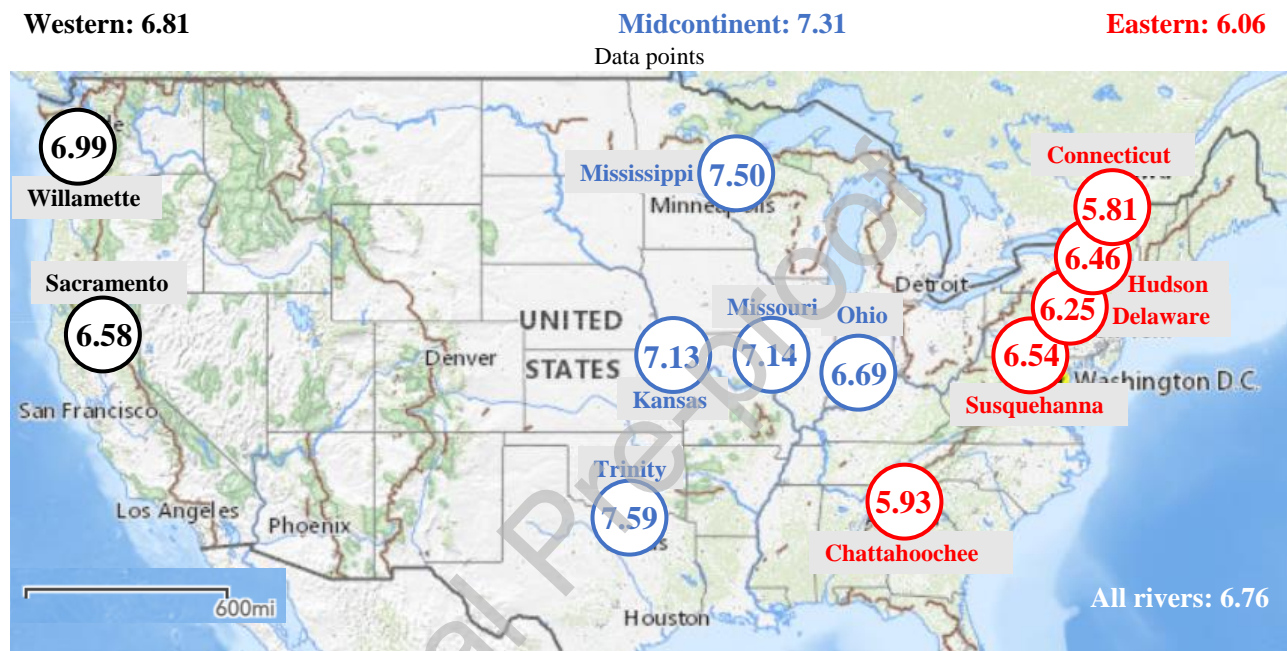


Figure 1. Concentrations of phytoplankton at the sampling sites (approximate locations) in twelve large, freshwater rivers across the United States. Source of the map: apps.nationalmap.gov/viewer/. One sampling site was selected from each river. The number within each circle: The geometric mean [unit: $\log_{10}(\text{GCN} \cdot \text{L}^{-1})$] of the qPCR-based concentration of four major phytoplankton groups (Bacillariophyta, Cyanobacteria, Dinoflagellates, and Chlorophyta) at each sampling site from 2017 to 2019. The geometric mean [unit: $\log_{10}(\text{GCN} \cdot \text{L}^{-1})$] of the qPCR-based concentration of the four major phytoplankton groups for each geographic region of the United States (western, midcontinent, and eastern) from 2017 to 2019 is on the top of the map. The geometric mean [unit:

 Figures

$\log_{10}(\text{GCN} \cdot \text{L}^{-1})$] of the qPCR-based concentration of the four major phytoplankton groups for all the sampling sites from 2017 to 2019 is on the lower right corner of the map. **GCN**: Gene or genome copy number. **Sacramento**: The Sacramento River (sampling site: Freeport, California). **Willamette**: The Willamette River (Sampling site: Portland, Oregon). **Trinity**: The Trinity River (sampling site: Dallas, Texas). **Kansas**: The Kansas River (sampling site: De Soto, Kansas). **Missouri**: The Missouri River (sampling site: Hermann, Missouri). **Ohio**: The Ohio River (sampling site: Cannelton Locks and Dam close to Cannelton, Indiana). **Mississippi**: The Mississippi River (sampling site: Hastings, Minnesota). **Chattahoochee**: The Chattahoochee River (sampling site: Whitesburg, Georgia). **Susquehanna**: The Susquehanna River (sampling site: Conowingo, Maryland). **Delaware**: The Delaware River (Trenton, New Jersey). **Hudson**: The Hudson River (sampling site: Poughkeepsie, New York). **Connecticut**: The Connecticut River (sampling site: Thompsonville, Connecticut).

Figures

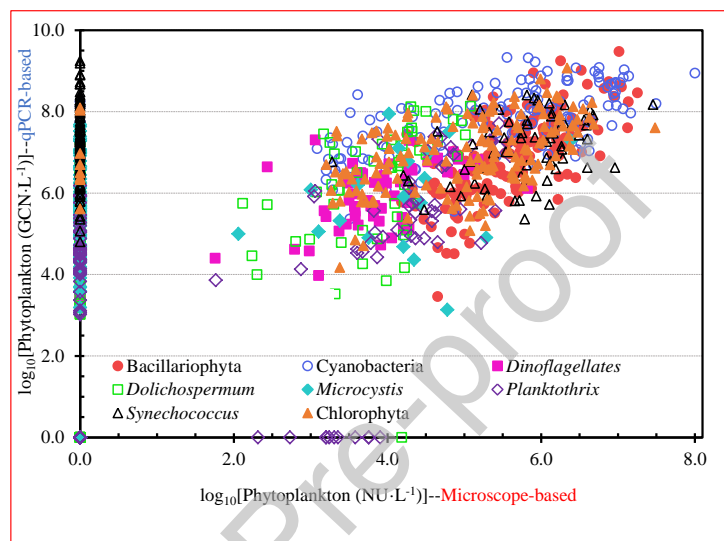
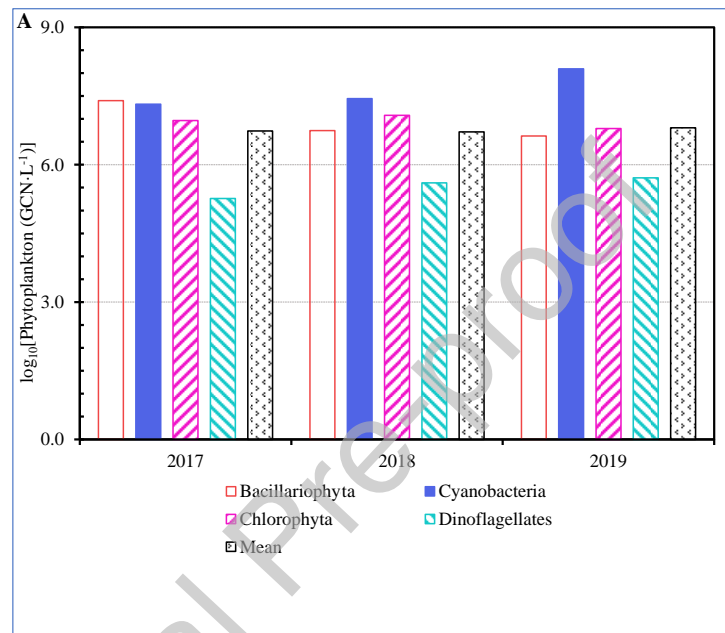


Figure 2. A comparison of qPCR-based and microscope-based phytoplankton abundance at the sampling sites in the twelve large, freshwater rivers. One sampling site was selected from each river. All data points are included in the plot. **Bacillariophyta** and **Cyanobacteria**: Divisions. **Dinoflagellates**: Class. **Chlorophyta**: Covers three classes within the division Chlorophyta (Chlorophyceae, Trebouxiophyceae, and Klebsormidiophyceae). **Dolichospermum**, **Microcystis**, **Planktothrix**, and **Synechococcus**: Genera. Sampling time: Early summer to late fall in 2017, 2018, and 2019. **GCN**: Gene or genome copy number. **NU**: Natural units (cells, colonies, or filaments).

Figures



Figures

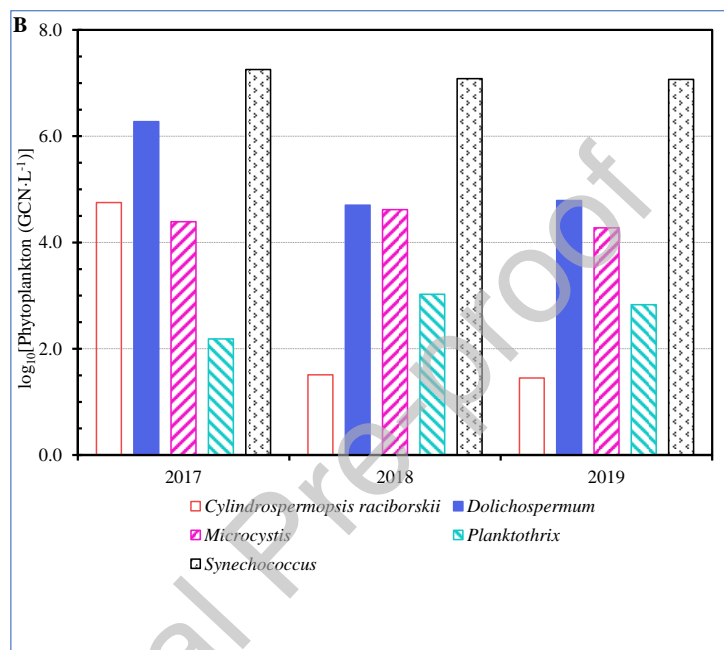
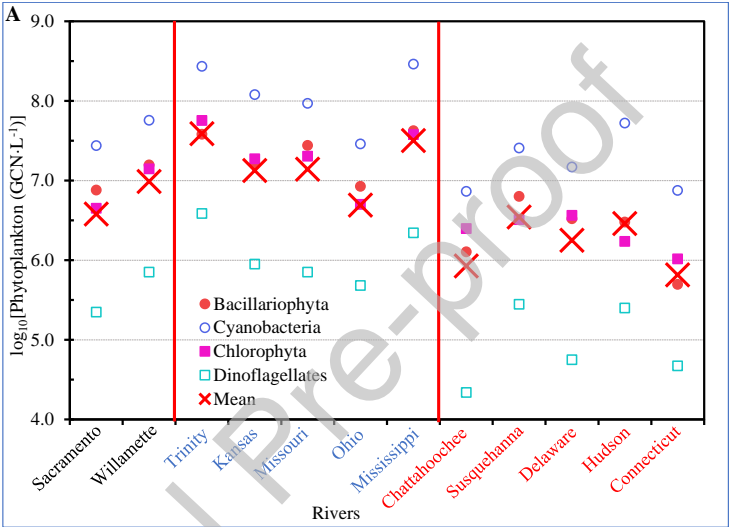


Figure 3. The geometric mean abundance (qPCR-based) of phytoplankton in 2017, 2018, and 2019 (sampling season: early summer to late fall) for all the sampling sites in the twelve rivers. One sampling site was selected from each river. **GCN:** Gene or genome copy number. **(A)** Four major phytoplankton groups. **Bacillariophyta** and **Cyanobacteria:** Divisions. **Chlorophyta:** Specific to three classes within the division Chlorophyta (Chlorophyceae, Trebouxiophyceae, and Klebsormidiophyceae). **Dinoflagellates:** Class. **Mean:** The geometric mean for the four major phytoplankton groups. **(B)** Four phytoplankton genera (*Dolichospermum*, *Microcystis*,

Figures

Planktothrix, and *Synechococcus*) and a species (*Cylindrospermopsis raciborskii*) in Cyanobacteria.



Figures

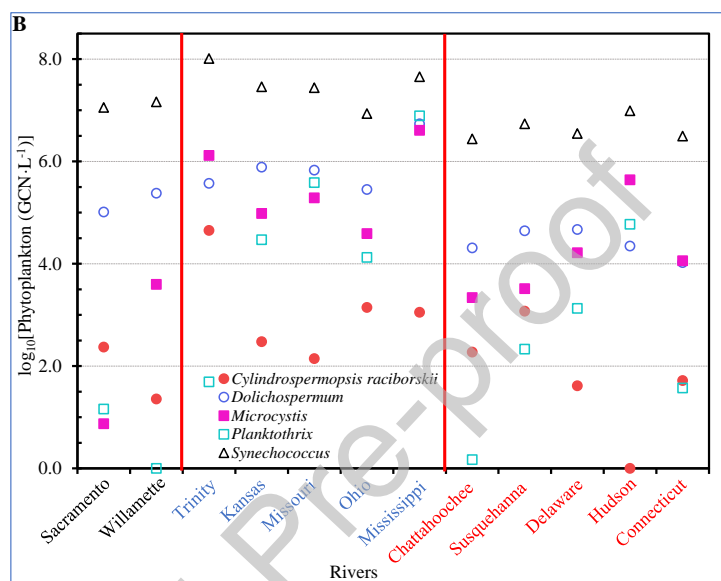


Figure 4. The geometric mean abundance (qPCR-based) of phytoplankton at the sampling sites in the twelve rivers (2017 to 2019, sampling season: early summer to late fall). One sampling site was selected from each river. GCN: Gene or genome copy number. (A) Major phytoplankton groups. **Bacillariophyta** and **Cyanobacteria**: Divisions. **Chlorophyta**: Specific to three classes within the division Chlorophyta (Chlorophyceae, Trebouxiophyceae, and Klebsormidiophyceae). **Dinoflagellates**: Class. **Mean**: The geometric mean for the four major phytoplankton groups. (B) Four genera (*Dolichospermum*, *Microcystis*, *Planktothrix*, and *Synechococcus*) and a species (*Cylindrospermopsis raciborskii*) in Cyanobacteria.

Figures

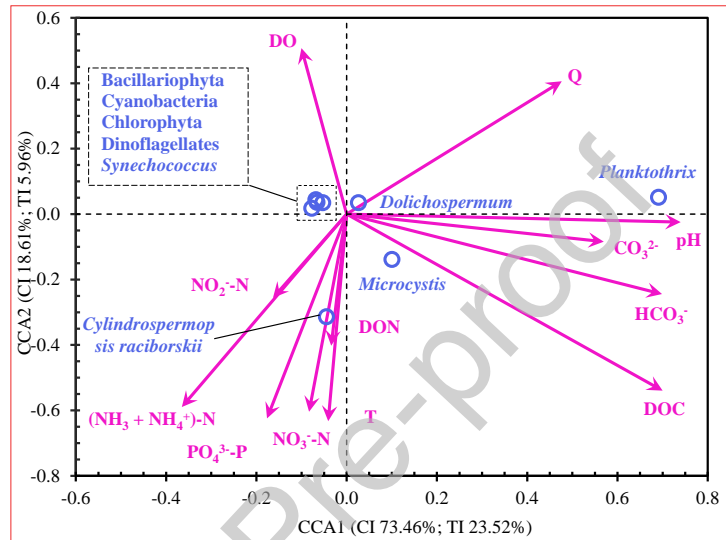
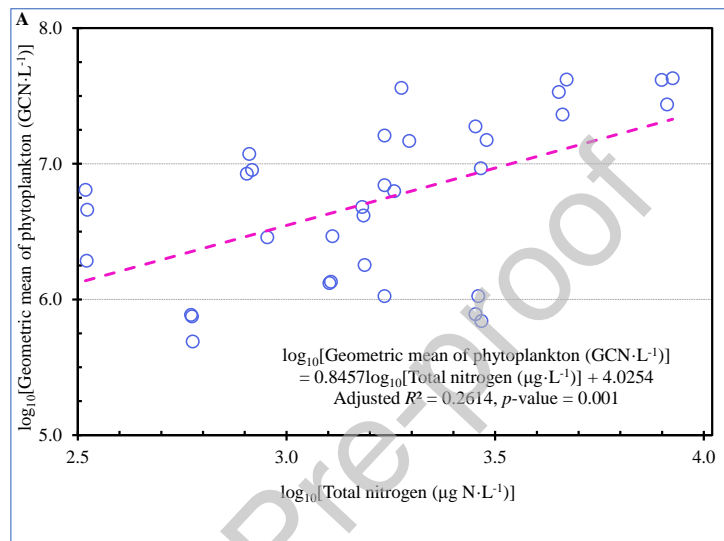


Figure 5. A constrained Canonical correspondence analysis (CCA) map (generated with XLSTAT) showing the linear associations among the qPCR-based abundance of eight phytoplankton groups and twelve critical water quality physicochemical parameters all measured at the sampling sites in the twelve rivers. One sampling site was selected from each river. The length of an arrow indicates the significance of the corresponding environmental variable, the direction of an arrow indicates the correlation between the corresponding environmental variable and an axis or a phytoplankton group, the angle between two arrows indicates the correlation between the two corresponding environmental variables, and the specific location of a phytoplankton group relative to an arrow indicates the environmental preference of the group (Palmer, 1993; Teer Braak, 1986). **Bacillariophyta** and **Cyanobacteria**: Divisions.

Figures

Chlorophyta: Specific to three classes within the division Chlorophyta (Chlorophyceae, Trebouxiophyceae, and Klebsormidiophyceae). **Dinoflagellates:** Class. *Dolichospermum*, *Microcystis*, *Planktothrix*, and *Synechococcus*: Genera. *Cylindrospermopsis raciborskii*: Species. Unit for phytoplankton abundance: $\log_{10}(\text{GCN} \cdot \text{L}^{-1})$. **GCN:** Gene or genome copy number. **T:** Water temperature ($^{\circ}\text{C}$). **Q:** Discharge ($\text{m}^3 \cdot \text{s}^{-1}$). **DO:** Dissolved oxygen ($\text{mg} \cdot \text{L}^{-1}$). **DON:** Dissolved organic nitrogen ($\text{mg N} \cdot \text{L}^{-1}$). **($\text{NH}_3 + \text{NH}_4^+$)-N:** Ammonia and ammonium nitrogen ($\text{mg N} \cdot \text{L}^{-1}$). **NO_2^- -N:** Nitrite nitrogen ($\text{mg N} \cdot \text{L}^{-1}$). **NO_3^- -N:** Nitrate nitrogen ($\text{mg N} \cdot \text{L}^{-1}$). **PO_4^{3-} -P:** Orthophosphate phosphorus ($\text{mg P} \cdot \text{L}^{-1}$). **DOC:** Dissolved organic carbon ($\text{mg} \cdot \text{L}^{-1}$). **CO_3^{2-} :** Dissolved carbonate ion ($\text{mg} \cdot \text{L}^{-1}$). **HCO_3^- :** Dissolved bicarbonate ion ($\text{mg} \cdot \text{L}^{-1}$). **CI:** Constrained inertia. **TI:** Total inertia.

Figures



Figures

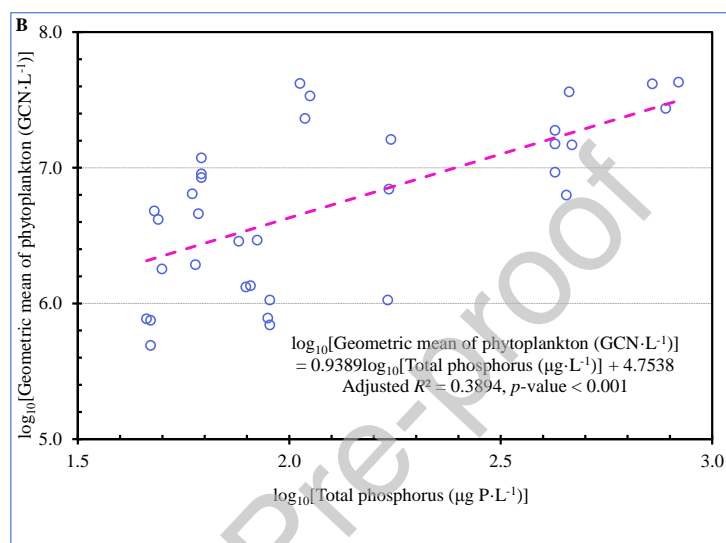


Figure 6. The linear correlations between the geometric mean abundance (qPCR-based) of four major phytoplankton groups (Bacillariophyta, Cyanobacteria, Chlorophyta, and Dinoflagellates) and (A) total nitrogen and (B) total phosphorus concentrations. The dashed lines: Simple linear regression lines. **Bacillariophyta** and **Cyanobacteria**: Divisions. **Chlorophyta**: Specific to three classes within the division Chlorophyta (Chlorophyceae, Trebouxiophyceae, and Klebsormidiophyceae). **Dinoflagellates**: Class. Adjusted *R*-squared's and *p*-values: SPSS-generated.