

# Circumventing kinetics in biogeochemical modeling

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**Microbial metabolism drives biogeochemical fluxes in virtually every ecosystem. Modeling these fluxes is challenged by the incredible diversity of microorganisms, whose kinetic parameters are largely unknown. In poorly mixed systems, such as stagnant water columns or sediments, however, long-term bulk microbial metabolism may become limited by physical transport rates of substrates across space. Here we mathematically show that under these conditions, biogeochemical fluxes are largely predictable based on the system's transport properties, chemical boundary conditions, and the stoichiometry of metabolic pathways, regardless of the precise kinetics of the resident microorganisms. We formalize these considerations into a predictive modeling framework and demonstrate its use for the Cariaco Basin subeuphotic zone, one of the largest anoxic marine basins worldwide. Using chemical concentration data solely from the upper boundary (depth 180 m) and lower boundary (depth 900 m), but without a priori knowledge of metabolite fluxes, chemical depth profiles, kinetic parameters, or microbial species composition, we predict the concentrations and vertical fluxes of biologically important substances, including oxygen, nitrate, hydrogen sulfide, and ammonium, across the entire considered depth range (180–900 m). Our predictions largely agree with concentration measurements over a period of 14 years ( $R^2 = 0.78\text{--}0.92$ ) and become particularly accurate during a period where the system was near biogeochemical steady state (years 2007–2009,  $R^2 = 0.86\text{--}0.95$ ). Our work enables geobiological predictions for a large class of ecosystems without knowledge of kinetic parameters or geochemical depth profiles. Conceptually, our work provides a possible explanation for the decoupling between microbial species composition and bulk metabolic function, observed in various ecosystems.**

geobiology | reaction kinetics | microbial system | redox gradient | marine anoxic region

**U**nderstanding the factors that determine microbial metabolic activity at ecosystem scales is essential for deciphering the processes shaping modern ecosystems and for determining Earth's past and future biogeochemical trajectory. Microbial population dynamics and metabolic activity influence and are influenced by abiotic processes, such as the diffusive transport of electron donors and acceptors across redox gradients. This coupling between biotic and abiotic processes is well illustrated in stagnant water columns or sediments, where redox gradients and spatially structured microbial communities develop across depth and result from the interplay between microbial metabolism, population dynamics, and slow physical mixing (1–3). Mechanistic models aiming to predict biogeochemical reaction rates and fluxes typically require knowledge of the kinetic properties of the resident microbial communities, such as bulk rate coefficients, microbial growth rates, or substrate affinities, in addition to the system's physical characteristics (e.g., diffusion coefficients) and boundary conditions (e.g., substrate concentrations at both ends of a water column) (3–8). When kinetic

parameters are unknown, as is often the case, additional data such as geochemical depth profiles are needed for model fitting (3, 9, 10). Over several decades, thousands of experiments have been performed to determine reaction- and bio-kinetic parameters of specific microorganisms or specific systems, with the ultimate goal of using these parameters for modeling (11, 12). However, the vast majority of microorganisms have not been, and may never be, kinetically characterized (13, 14). Even if all kinetic/physiological parameters were known for all extant microorganisms, biogeochemical predictions for natural ecosystems would require knowledge of current microbial community composition, and this knowledge is typically lacking. There is thus a need to identify the key mechanisms actually constraining biogeochemical flux rates at ecosystem scales and the minimal set of parameters needed to describe these mechanisms, to construct appropriately streamlined models. As we explain below, basic ecological principles and physical arguments provide a previously unrecognized avenue toward a type of substantially streamlined models applicable to a broad class of ecosystems.

In the presence of ample substrates for energy, microbial population growth driven by the consumption of these substrates typically leads to an acceleration of consumption rates, until at least one essential substrate becomes scarce and limiting. When substrates are supplied at a finite rate and in the presence of competing populations, Tilman's classical ecological theory predicts that, at steady state, the concentration of

## Significance

Predicting biochemical processes driven by microbes in the environment remains challenging, because the “kinetic” parameters conventionally used to predict reaction rates are usually poorly known. Here we mathematically show that in poorly mixed systems, such as stagnant waters, bulk biochemical reaction rates can become limited by the slow transport of substrates across space and essentially independent of kinetic parameters. We demonstrate our arguments for a large and heavily studied ocean basin, where we accurately predict the microbially driven fluxes of various substances, across a depth range of hundreds of meters. Our work opens up avenues for predicting ecosystem processes without knowledge of kinetic parameters and without laborious chemical profile measurements.

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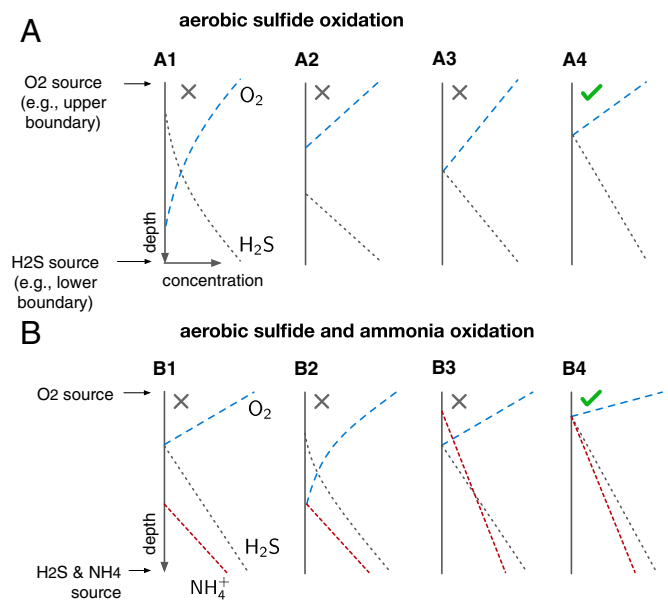


the advective velocity,  $R_r$  is the rate of the  $r$ th reaction (e.g., mol per volume per time), and  $\partial/\partial z$  denotes the derivative along the spatial coordinate  $z$ . In some cases, such as in the model introduced below for Cariaco Basin, the advection term  $v_m$  may be zero, while in other (advection-dominated) systems  $D_m$  may be zero. The first term in Eq. 1 corresponds to the net production or consumption of metabolites at any given location, while the remaining terms describe metabolite transport across space. The equality to zero specifies that fluxes must be balanced such that  $C_m$  is at steady state (SMF assumption *iii*).

The differential Eq. 1 allows the calculation of steady-state metabolite concentrations, provided that the reaction rates  $R_r$  and the boundary conditions (e.g., the values or gradients of  $C_m$  at the system's boundary) are known (see *SI Appendix, sections S.1 and S.2* for details). Eq. 1 is widely used to describe the distribution of compounds in stagnant water columns, sediments, and biofilms resulting from some underlying reaction rates (3, 5, 8, 10, 43–45). We emphasize that neither assumptions *i–iii* nor Eq. 1 make any statement about what the reaction rates  $R_r$  should be, as this requires additional biological reasoning. Conventional models either require a priori knowledge of reaction kinetics and/or microbial population dynamics to predict the rates  $R_r$  or estimate unknown kinetic parameters and/or unknown rates by fitting the model to measured geochemical depth profiles (3, 10, 20, 43, 45–49). Here we circumvent these requirements by assuming that microbial communities eventually occupy every available metabolic niche and become infinitely efficient at gathering available substrates (assumption *iv*). We make no assumptions about which or how many different microbial species perform each reaction. Rather, assumption *iv* states only that each reaction runs at the maximum rate possible, as permitted by substrate transport across space and supply by other reactions. A similar idea was introduced by Bouldin (ref. 50, model III therein), who assumed an instantaneous reaction rate to calculate the speed at which a single oxidant/reductant interface (e.g., between oxygen and ferrous iron in sediments) would move downward over time, if the speed was limited entirely by diffusion. The assumption of instantaneous reactions is also used in geochemical equilibrium–reaction–transport models, where chemical reactions are assumed to locally instantaneously converge to thermodynamic equilibrium between subsequent time steps (22, 51–53). The seemingly simple assumption of infinitely efficient substrate gathering has profound consequences. In principle, predicting reaction rates under the SMF framework translates to finding those  $R_r$  that, when inserted into Eq. 1, would result in all metabolite profiles  $C_m$  satisfying assumption *iv* as close as possible. Implementing this approach in practice is challenging due to the large number of unknown variables ( $R_r$  for each  $r$  and at each location). Fortunately, as we explain below, in many cases the number of unknown variables can be substantially reduced.

A high efficiency in substrate gathering and limited mixing rates imply that reactions requiring at least two coupled substrates produced at distinct locations (as is the case for typical redox reactions in systems with pronounced redox gradients) will take place only within narrow chemical transition zones, within which inflowing coupled substrates exhibit just sufficient overlap and outside (on either side) of which at least one of the substrates is essentially absent (Fig. 1*B* and *SI Appendix, Fig. S1*). The same reasoning also applies to abiotic reactions that, in the presence of ample reactants, occur very rapidly compared with reactant transport rates (22) (further discussion in *SI Appendix, section S.4*). In the theoretical limit of infinitely efficient substrate gathering (assumption *iv*) or, equivalently, infinitely fast reactions, such transition zones become increasingly narrow and eventually collapse into single points, henceforth referred to as “hotspots” (Fig. 1*C*). At these hotspots the concentration of at least one substrate approaches zero, and substrate influx and

outflux rates are balanced by production and consumption rates (Fig. 2). The expectation that transition zones become narrower as reaction kinetics become faster is straightforward to confirm using existing reaction–transport models (54). The emergence of narrow chemical transition zones is well documented in virtually all systems with low mixing rates, such as stagnant marine water columns (3, 23–27), meromictic lakes (28–30), sediments (1, 31, 32), and biofilms (33). The flux of oxidants and reductants into these transition zones fuels abiotic and metabolic reactions and microbial biomass production (3, 24, 32, 55–57) (*SI Appendix, Fig. S4B*), and relatively high cell abundances are often found in such zones (58–61). In the geochemical literature, hotspots are



**Fig. 2.** Constraints on the intensity and location of hotspots. (A and B) Schematic illustration of the constraints that physical transport processes, chemical boundary conditions, and reaction stoichiometry impose on the location of chemical transition zones (idealized as hotspots) and reaction rates therein under steady state. (A) The aerobic oxidation of hydrogen sulfide (diffusing from the bottom) using oxygen (diffusing from the top) through a water column is used as an example, similar to Fig. 1. A1–A4 show different hypothetical scenarios for the steady-state depth profiles of H<sub>2</sub>S and O<sub>2</sub>, assuming no other reaction occurs and assuming a constant diffusion coefficient across depth. Only scenario A4 is physically possible and consistent with the SMF framework. (A1) O<sub>2</sub> and H<sub>2</sub>S coexist at substantial concentrations in an extended depth interval, contradicting the assumption of efficient substrate gathering. (A2) The location of O<sub>2</sub> consumption is separated from the location of H<sub>2</sub>S consumption, and hence H<sub>2</sub>S cannot possibly be directly oxidized using O<sub>2</sub>. (A3) O<sub>2</sub> and H<sub>2</sub>S are consumed at the same hotspot and at a rate that is sufficiently high to minimize the overlap of O<sub>2</sub> and H<sub>2</sub>S. However, the consumption rates of O<sub>2</sub> and H<sub>2</sub>S are equal (since their profiles have equal slopes), which is inconsistent with the reaction's stoichiometry (O<sub>2</sub> : H<sub>2</sub>S = 2 : 1). (A4) O<sub>2</sub> and H<sub>2</sub>S are both consumed at the same hotspot at sufficient rates, and their flux rate ratio is consistent with the reaction's stoichiometry. See the main text for a mathematical discussion. (B) Two competing electron donors diffusing from the bottom are considered (H<sub>2</sub>S and NH<sub>4</sub><sup>+</sup>) and assumed to be oxidized using O<sub>2</sub> diffusing from the top. Following similar arguments to those in A, at steady state only scenario B4 is physically possible and consistent with the SMF framework. Observe that in B4 the hotspot is closer to the O<sub>2</sub> source compared with scenario A4, to sustain the higher O<sub>2</sub> fluxes needed to oxidize both electron donors. In both A and B, the location of the hotspot and metabolite fluxes into the hotspot are completely determined by the system's transport properties and chemical boundary concentrations and thus predictable regardless of microbial kinetics and without depth profile measurements. Similar arguments can be made for a greater number of reactions, although the constraints on hotspot locations and reaction rates become increasingly complex.



known as sharp reaction fronts that form when reactions are fast in comparison with transport processes (22, 62).

It is important to note that the locations of hotspots and reaction rates therein are strongly constrained by a system's transport properties, boundary conditions, and the stoichiometry of reactions. This is because at steady state stoichiometric ratios of substrates used by reactions in a hotspot need to match the substrate flux ratios into the hotspot, which in turn depend on a hotspot's distance to substrate sources (such as the system's boundaries). For illustration, consider the hypothetical scenario where sulfide diffuses upward from the sediments through a water column and into an oxic/sulfidic transition zone (modeled as a hotspot), where it is entirely oxidized using downward diffusing oxygen according to a stoichiometric ratio of  $O_2 : H_2S = 2 : 1$  (Fig. 2A). If we assume for simplicity that the diffusivity  $D$  is the same for both  $O_2$  and  $H_2S$  and constant across depths, then at steady state the oxygen and sulfide fluxes into the hotspot will be  $f_{O_2} = D \cdot C_{O_2}(z_t)/(z_h - z_t)$  and  $f_{H_2S} = D \cdot C_{H_2S}(z_b)/(z_b - z_h)$ , respectively, where  $z_t$  and  $z_b$  are the depths of the top and bottom boundaries of the system and  $z_h$  is the (a priori unknown) hotspot depth. Stoichiometric balancing implies that  $f_{O_2}/f_{H_2S} = 2$ , which after some algebraic reordering leads to

$$z_h = \left[ 2z_t + z_b \frac{C_{O_2}(z_t)}{C_{H_2S}(z_b)} \right] \cdot \left[ 2 + \frac{C_{O_2}(z_t)}{C_{H_2S}(z_b)} \right]^{-1}. \quad [2]$$

Thus, if the boundary concentrations  $C_{O_2}(z_t)$  and  $C_{H_2S}(z_b)$  are specified, the hotspot location, the vertical fluxes, and thus the reaction rate can be determined regardless of the underlying kinetics (see Fig. 2A for illustration and Fig. 2B for a more complex example). Similar algebraic arguments can in fact also be made for more complex scenarios, for example in the presence of multiple hotspots and reactions or in cases where  $D$  varies across depth or between metabolites; however, explicit algebraic solutions become increasingly difficult to retrieve. A more flexible and scalable numerical approach for determining hotspot locations and reaction rates based on the same principles is thus presented below.

Following the above considerations, the differential Eq. 1 can be simplified into an algebraic equation for each steady-state concentration  $C_m$ ,

$$C_m(z) = C_m^o(z) + \sum_h \sum_r S_{mr} R_{hr} G_m(z_h, z), \quad [3]$$

where  $h$  iterates over all hotspots,  $z_h$  is the location of hotspot  $h$ ,  $R_{hr}$  is the integrated rate of reaction  $r$  at hotspot  $h$  (e.g., mol per  $m^2$  per time),  $C_m^o$  is the steady-state concentration of metabolite  $m$  in the absence of any reactions, and  $G_m$  is the "Green's function" (63) of the differential Eq. 1. Specifically,  $G_m(z_h, z)$  is the metabolite's hypothetical concentration at location  $z$ , if a single point source of unit rate were present at location  $z_h$ . Green's functions are widely used in geophysics, meteorology, ecology, and sedimentology to describe local or nonlocal transport of matter or energy (63–65), such as molecular diffusion or bioturbation in sediments (5, 66), as an alternative to using differential equations. Note that  $C_m^o(z)$  and  $G_m(z_h, z)$  can be precalculated independent of the reaction rates for any arbitrary  $z_h$  and  $z$  (details in *SI Appendix, section S.1*), and thus the only free variables in Eq. 3 are the hotspot locations  $z_h$  and the reaction rates  $R_{hr}$  at each hotspot. In principle,  $z_h$  and  $R_{hr}$  can be predicted by finding those values for which the corresponding steady-state metabolite concentrations (i.e., calculated using Eq. 3) satisfy SMF assumption *iv*; that is, at any location outside of hotspots and for every reaction at least one substrate has concentration zero.

In practice, for complex models there may not exist a combination of hotspot locations and reaction rates satisfying assumption *iv* exactly. Hence, an approximate solution may be sought by choosing a combination of  $z_h$  and  $R_{hr}$  that minimizes a suitable "stress" function (denoted  $f$ ), which quantifies the deviations from assumption *iv*. This stress function will be zero if and only if assumption *iv* is exactly satisfied; in the sulfide/oxygen example discussed above, solving for  $f = 0$  would yield the same hotspot location as derived from stoichiometric arguments (Eq. 2 and Fig. 2A). The advantage of using a stress function is that even when no exact solution exists (e.g., if the problem is overdetermined), an approximate solution may still be obtained by minimizing  $f$ . This approach of finding an approximate solution is heuristic and should be verified using real systems (see below) because, strictly speaking, the SMF framework cannot predict exactly how real microbial systems behave if they deviate from the framework's idealizing assumptions. We point out that minimizing a model's stress function differs fundamentally from classical model fitting, where the deviations from available data are minimized to determine unknown kinetic parameters or unknown reaction rates (3, 10, 45). Indeed, a stress function as introduced above measures only deviations from the model's own assumption *iv*, but not deviations from any data.

### Comparison with Existing Approaches and Implications

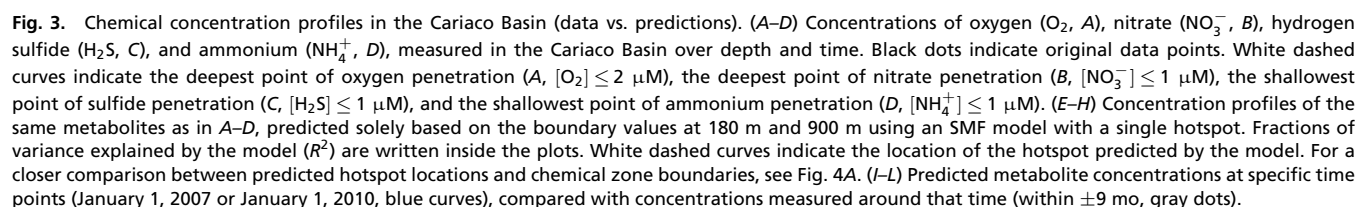
The SMF framework differs from biogeochemical models that predict instantaneous reaction rates at each location based on current local chemical conditions (e.g., using first-order kinetics) and which, more recently, also account for microbial population dynamics (3, 8, 20, 43, 46–48, 51, 67–69); these include "free boundary" models where boundary locations are a priori unknown variables representing penetration depths of metabolites, spatial bounds of a model's validity, or transition points between distinct kinetic/transport regimes (4, 6, 7, 50, 69–75) (reaction rates at these free boundaries are often assumed to be zero, and thus these boundaries should not be confused with hotspots). Virtually all of these models require reaction-kinetic parameters such as rate constants and/or biological parameters, such as microbial growth yields and substrate affinities, to explicitly model the dependency of reaction rates on local physicochemical conditions and/or community state. While such models are usually more realistic (and potentially more accurate) than SMF models, they often require dozens of poorly quantified kinetic parameters and may be overly and needlessly complex. The SMF framework shortcuts all reaction- and biokinetic dynamics by assuming that physical transport and reaction stoichiometry eventually dictate large-scale biogeochemical flux rates. While this assumption clearly does not apply to all systems, it has profound implications in those systems where it does. In such systems, the SMF framework provides a substantially simplified approach to predicting biogeochemical fluxes and metabolic activity. Even in systems far from steady state, SMF predictions could provide a reasonable first-order approximation or help identify a system's eventual convergence point, deviations from which correspond to transient processes.

The SMF framework differs from conventional "reconstructive" approaches where measured geochemical depth profiles are used to reconstruct the underlying fluxes or reaction rates generating those profiles, for example based on concentration gradients (18, 27, 76–78) or via inverse transport modeling (79–82). Similarly, the SMF framework differs from approaches that fit unknown kinetic parameters or unknown reaction rates to geochemical depth profiles (3, 9, 10, 45, 54, 68, 83, 84), for example via least squares. All of these approaches require knowledge of the geochemical profiles that resulted from the very processes to be reconstructed; that is, they require that "nature already took its course" and the outcome was subsequently measured in

of optimality. FBA allows predicting the effects of hypothetically added or removed reactions on cell metabolism without knowledge of cell regulation, thus facilitating drug discovery and identification of gene functions (88). Analogously, SMF allows predicting the effects of hypothetically or putatively occurring reactions in an ecosystem without knowledge of kinetics, thus facilitating ecosystem engineering and assessing the potential role of metabolic pathways at ecosystem scales. For example, SMF could provide valuable predictions of maximum feasible biochemical flux rates during the design of spatially structured bioreactors (89), regardless of the eventual inhabiting microorganisms.

To demonstrate the applicability of the SMF framework to real ecosystems, we constructed an SMF model for the Cariaco Basin subeuphotic water column (depths 180–900 m, roughly spanning from hypoxia to anoxia, with  $O_2$  concentrations  $\sim 0$ –70  $\mu M$ ) during the years 2001–2014. Within this time interval and depth range, water exchange with the open ocean was limited (34), eddy diffusion was the main mode of vertical transport (27, 77, 90), and microbial productivity was likely largely fueled by the supply of inorganic reductants (especially sulfide) from the sediments (18, 35, 82). While this system appeared at times to be near biogeochemical steady state, substantial fluctuations and decadal dynamics have also been observed, especially with regard to sulfide fluxes (18, 34, 82, 91) (Fig. 3C). This system thus provides an opportunity to test the robustness of the SMF framework under steady-state as well as non-steady-state conditions. We considered major inorganic electron donors and acceptors (oxygen, nitrate, nitrite, hydrogen sulfide, ammonium), diffusing downward from the overlying layers or diffusing upward from the basin bottom or sediments (27, 77, 82), and redox pathways using or

The SMF framework exhibits conceptual similarities to flux balance analysis (FBA), a popular framework for predicting the metabolism of single cells at steady state based on the reactions encoded in their genome (87). In FBA, the production, consumption, uptake, and export rates of each metabolite are assumed to be balanced so that the intracellular concentration is constant over time; reaction rates are predicted under these constraints by assuming that a cell regulates reactions such that it achieves the highest possible growth rate. By analogy, in SMF the production/consumption rates of metabolites within hotspots are assumed to be balanced by fluxes across the system's boundaries and transport across space; the hotspot locations and reaction rates are predicted under these constraints by assuming that microbial populations are infinitely efficient at substrate gathering. As in FBA, in SMF the need for kinetic parameters is eliminated based on some principle



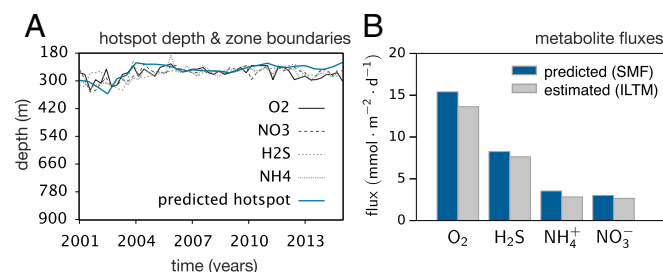
producing these metabolites and believed to be of importance to biogeochemical cycling in Cariaco Basin (18, 27, 57, 92–94): aerobic oxidation of sulfide, ammonium, or nitrite; anaerobic oxidation of sulfide using nitrate (producing nitrite); anaerobic oxidation of sulfide using nitrite (producing  $N_2$ ); and anaerobic ammonium oxidation using nitrite (anammox). We ignored carbon remineralization, the bulk of which likely occurs outside of the considered depth range (mostly in the euphotic zone and sediments, but potentially including the bottom of the basin, depths 900–1,400 m), based on measured heterotrophy rate profiles (*SI Appendix*, Fig. S4A) and based on relatively low estimated net in situ sulfate reduction rates (82). To parameterize and validate our model, we used geochemical data generated by the CARIACO Ocean Time Series program at a single station (34, 35) and previously estimated eddy diffusion coefficients (82). We used chemical concentrations, measured at depths 180 m and 900 m, as boundary values for the SMF model, and predicted the hotspot locations and reaction rates between those boundaries at various time points, by minimizing a stress function as described above (Eq. 14 in *Methods*).

We found that a single hotspot was sufficient to largely reproduce the concentration profiles of considered metabolites; the fraction of variance in the profiles that could be explained by the model ( $R^2$ ) was between 0.78 and 0.92, depending on the metabolite (Fig. 3). The adequacy of a single hotspot to largely reproduce the observed geochemical profiles is consistent with the observation that during this period oxygen, nitrate, hydrogen sulfide, and ammonium were typically consumed in close spatial proximity (Fig. 4A), within a zone sometimes referred to as the “redoxcline” (27, 34, 82, 94). The hotspot depths predicted by our model (mean  $255 \pm 32$  m SD, depending on time point) fall within the typical range of the redoxcline (200–350 m). Measured prokaryotic cell densities and dark carbon assimilation (DCA) rates typically peak within the redoxcline (*SI Appendix*, Fig. S4), and previous studies found elevated densities of putative sulfide oxidizers (95) and ammonia oxidation genes (94) therein. Recall that the predicted hotspot location is the location at which reductant and oxidant influxes from opposite sides are stoichiometrically balanced at steady state, taking into account variations

of the diffusivity across depth. Because in Cariaco Basin diffusivity at depth is much greater than diffusivity near the top (*SI Appendix*, Fig. S2C), the hotspot is located closer to the top boundary. Our model thus provides a simple explanation for the location of the redoxcline.

On a finer scale, predicted hotspot depths are often shallower than peak depths of measured prokaryotic cell densities ( $280 \pm 77$  m SD; *SI Appendix*, Fig. S4C), peak depths of measured DCA rates ( $307 \pm 32$  m SD; *SI Appendix*, Fig. S4B), and peak depths of previously estimated sulfide consumption rates (303 m on average) (82). One potential explanation could be that manganese and iron act as redox shuttles between sulfide and oxygen, whereby dissolved divalent manganese ( $Mn^{2+}$ ) and iron ( $Fe^{2+}$ ) are oxidized using oxygen, and sinking particulate manganese and iron (oxyhydr)oxides ( $MnO_2$  and  $FeOOH$ ) are reduced using sulfide at depth (40, 57, 96). To test the plausibility of this interpretation, we examined an extended SMF model with two hotspots that incorporates putative manganese and iron oxidation and reduction pathways ( $MnO_2 \leftrightarrow Mn^{2+}$  and  $FeOOH \leftrightarrow Fe^{2+}$ ; schematic illustration in *SI Appendix*, Fig. S5). This extended model predicts the emergence of sharp  $MnO_2$  and  $FeOOH$  concentration maxima within the redoxcline (*SI Appendix*, Fig. S6 F and H), consistent with previous observations (40, 97, 98). This model also predicts a fine spatial separation between the oxygen and sulfide fronts ( $\sim 23$  m on average; *SI Appendix*, Fig. S7), consistent with frequent observations (98, 99), with sulfide oxidation predicted at somewhat deeper depths ( $271 \pm 35$  m SD) than in the original single-hotspot model. These revised predictions, however, only partly alleviate the aforementioned discrepancies with measured DCA rates and previously estimated peak sulfide consumption depths. A more important cause of these discrepancies is likely the fact that the system is not at perfect biogeochemical steady state. Indeed, sulfide concentrations and influxes at depth have been increasing appreciably during most of the considered time period (*SI Appendix*, Fig. S8 and refs. 18 and 82), leading to a mismatch between a transiently deep zone of sulfide consumption on the one hand and a shallower predicted steady-state hotspot location on the other hand. The latter corresponds to the hypothetical eventual point of convergence, if boundary conditions stabilized.

To further assess the model's performance under steady-state conditions, we subsequently restricted our analysis to a time period where sulfide concentrations at depth appeared relatively stable (years 2007–2009; *SI Appendix*, Fig. S8). During this period, the agreement of the model with the profile data increased noticeably ( $R^2 = 0.86$ – $0.95$ ), and the predicted hotspot depths ( $256 \pm 4$  m SD) more closely matched the depths of peak measured prokaryotic cell densities ( $252 \pm 38$  m SD), peak measured DCA rates ( $296 \pm 25$  m SD), and peak estimated sulfide consumption rates (280 m on average) (82), as well as the depths where measured oxygen, nitrate, sulfide, and ammonium concentrations approach zero ( $\sim 255$  m, Fig. 4A). Remaining discrepancies may be partly due to uncertainties in the eddy diffusion coefficients and uncertainties in chemical boundary concentrations, as well as the coarse resolution of measured DCA profiles and estimated sulfide consumption profiles (82). Considering the simplicity of the model and the fact that it covers a depth range of 720 m, the model's predictions appear remarkably accurate. Metabolite fluxes into the hotspot, predicted by the SMF model during the years 2007–2009 (Fig. 4B), are also highly consistent with depth-integrated metabolite consumption rates within the Cariaco Basin redoxcline, previously estimated from the full geochemical depth profiles (82). This supports our hypothesis that, in the system at hand, large-scale biogeochemical gradients and bulk microbially driven metabolite fluxes are largely determined by the system's transport properties and chemical concentrations at the top and bottom boundaries and largely



**Fig. 4.** Hotspot depth and metabolite fluxes in the Cariaco Basin. (A) Hotspot depths predicted by the Cariaco SMF model at various time points (blue solid curve), compared with various chemical zone boundaries, including the deepest point of oxygen penetration ( $[O_2] \leq 2 \mu M$ , following ref. 34), the deepest point of nitrate penetration ( $[NO_3^-] \leq 1 \mu M$ ), the shallowest point of sulfide penetration ( $[H_2S] \leq 1 \mu M$ , following ref. 34), and the shallowest point of ammonium penetration ( $[NH_4^+] \leq 1 \mu M$ ). Observe that during years 2007–2009, where Cariaco was close to biogeochemical steady state, the penetration depths of all metabolites are in close proximity to each other and to the predicted hotspot location. (B) Net metabolite flux rates into the Cariaco Basin redoxcline during the years 2007–2009, averaged over time. Blue bars show SMF-predicted net fluxes into the hotspot, solely based on the concentrations at the top and bottom boundaries. Gray bars show depth-integrated consumption rates between depths 200 m and 400 m and for the same time period, previously independently estimated via inverse linear transport modeling using geochemical depth profiles (82). All flux rates are expressed as area-specific densities, normalized with respect to the basin area at 150 m depth.



independent of the precise kinetics and physiological properties of resident species.

## Limitations

The simplicity of SMF models does not come without a number of tradeoffs. First, strictly speaking SMF models apply only to steady state, although in practice the assumption of steady state may not always be crucial. Second, while SMF models may adequately describe bulk biogeochemical fluxes, by design they make no predictions about the population dynamics of microbial communities or about the partitioning of metabolic activity across microbial species. Such predictions may be important in cases where a mechanistic understanding of the underlying ecology is important or when available microbial composition data could otherwise be used to calibrate a model. Third, the SMF variant presented here, whereby reactions are assumed to occur only at discrete hotspots, requires that all reactions be limited by transport rates of at least one substrate across space. In some situations, some reactions may not meet this criterion, in which case the rate of these reactions must be modeled separately, e.g., using kinetic parameters. A notable example is the hydrolytic and fermentative degradation of organic matter in sediments, which even at steady state, and even in the presence of ample organic carbon, can proceed very slowly relative to physical transport (5, 100). This process may ultimately be limited by the number of enzyme-secreting microorganisms that could possibly colonize the outer surface of an organic matter particle, the reactivity of their secreted hydrolytic enzymes, and diffusion rates at the scale of single particles (101). To accommodate such scenarios, our SMF code allows for explicitly specifying input rate profiles of organic matter degradation products (e.g., depth profiles of methane production rates) based on kinetic models and/or empirical rate profiles (5, 102); however, currently these products may themselves not be limiting substrates of reactions confined to hotspots at depths where substantial organic matter degradation occurs. Future SMF variants, allowing for reactions outside of discrete hotspots while still satisfying SMF assumption *iv*, may resolve this limitation.

## Conclusions

In general, microbial activity and geochemical gradients are bidirectionally coupled dynamic aspects of ecosystems, continuously influencing each other over time and together leading to the emergence of interwoven microbial and chemical spatial structures (94). In stagnant water columns and sediments, the eventually inevitable utilization of available metabolic niches by microorganisms (42), the self-amplifying nature of microbial metabolism, and selection for efficient substrate gathering (15) can render physical transport processes the rate-limiting step for bulk microbial metabolism at ecosystem scales. Similar arguments also hold for rapidly occurring abiotic reactions. Consequently, biogeochemical fluxes may become largely determined (and as we showed, largely predictable) by a system's physical transport properties, chemical boundary conditions, and the stoichiometry of metabolic pathways. In contrast, variations in the species composition of microbial communities and potential variations in their kinetics may have little net effect on large-scale biogeochemical fluxes in these systems (19). Here we formalized these considerations into a scalable predictive framework and demonstrated that such a framework can yield accurate steady-state predictions for systems with multiple reactions, with heterogeneous diffusion rates, and spanning hundreds of meters. Indeed, our model for the Cariaco Basin reproduced the system's broad geochemical structure remarkably well. The model even reproduced major changes in sulfide and ammonium profiles occurring over time scales of months to years, indicating that exact steady state is not an essential prerequisite for obtaining reasonable predictions. Thus, the SMF framework may

also explain the broad-brush biogeochemical structure in other similar systems (25, 29, 30, 32).

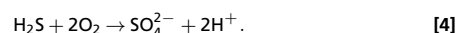
Reciprocally, while factors such as boundary conditions and large-scale mixing properties can strongly constrain bulk biogeochemical process rates, they may have limited influence on the precise outcome of community dynamics such as competition, antibiotic warfare, or predation (19). Our work thus provides an explanation for the apparent decoupling between taxonomic community composition and bulk metabolic function frequently observed in microbial systems, whereby stable function can coincide with high species turnover over space or time (19, 103–107). Note that the SMF framework makes no assertion as to whether and how this species turnover affects the kinetic properties of the resident communities; our framework merely illustrates how these kinetic properties can become largely irrelevant to bulk biogeochemical flux rates. Our framework may also be applicable to large-scale biogeochemical processes at geological time scales, where microbial kinetic parameters are often unknown, thus enabling a better understanding of Earth's past and future biogeochemical trajectory.

## Materials and Methods

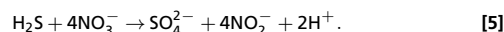
**Cariaco Basin Data.** Chemical and physical data were downloaded from the CARIACO Ocean Time Series project website (<http://www.imars.usf.edu/cariaco>) on April 28, 2018. Methods of data collection have been described previously (34, 35). Some of the hydrogen sulfide concentration data, recently published in ref. 35, were obtained directly from the authors. To obtain boundary conditions at the top and bottom and to compare model predictions with measurements, chemical concentration data were bilinearly interpolated onto a regular spatiotemporal grid (Fig. 3 A–D).

**Cariaco Basin SMF Model.** The Cariaco Basin SMF model considers the depth range of 180–900 m during the years 2001–2014 (see *SI Appendix, section S.5* for justifications). The single-hotspot model (which is the main model discussed in the article) considers the following metabolites: nitrate ( $\text{NO}_3^-$ ), nitrite ( $\text{NO}_2^-$ ), ammonium ( $\text{NH}_4^+$ ), hydrogen sulfide ( $\text{H}_2\text{S}$ ), and oxygen ( $\text{O}_2$ ). The model considers energy-yielding redox pathways using or producing the above metabolites, which are thought to be particularly important in Cariaco Basin (18, 27, 34, 57, 92–94):

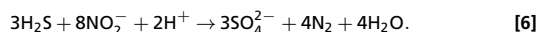
- ASOS, aerobic sulfide oxidation to sulfate:



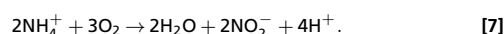
- oxH2SrNO3, oxidation of sulfide to sulfate, coupled to the reduction of nitrate to nitrite:



- oxH2SrNO2, oxidation of sulfide to sulfate, coupled to the reduction of nitrite to  $\text{N}_2$ :



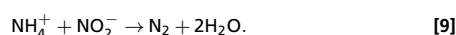
- AMO, aerobic ammonium oxidation to nitrite:



- NXR, aerobic nitrite oxidation to nitrate:



- anammox, anaerobic ammonium oxidation using nitrite:



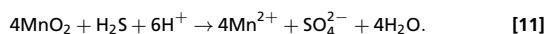
The extended two-hotspot model, incorporating metal redox shuttles, also considered dissolved manganese ( $\text{Mn}^{2+}$ ), particulate manganese ( $\text{MnO}_2$ ),

dissolved iron ( $\text{Fe}^{2+}$ ), and particulate iron ( $\text{FeOOH}$ ) concentrations and also included the following pathways:

- MnOx, aerobic oxidation of  $\text{Mn}^{2+}$ :



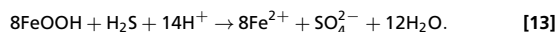
- MnRHS, reduction of manganese oxides using  $\text{H}_2\text{S}$ :



- FeOx, aerobic oxidation of  $\text{Fe}^{2+}$ :



- FeRHS, reduction of  $\text{FeOOH}$  using  $\text{H}_2\text{S}$ :



In addition to transport via eddy diffusion, particulate metals were also subject to sinking at speeds  $v_{\text{MnO}_2} = 5.3 \text{ m} \cdot \text{d}^{-1}$  and  $v_{\text{FeOOH}} = 3.2 \text{ m} \cdot \text{d}^{-1}$ , based on the formula by Yakushev (48) and typical concentrations in the order of  $[\text{MnO}_2] \sim 50 \text{ nM}$  (40) and  $[\text{FeOOH}] \sim 25 \text{ nM}$  (97). Note that sulfide-driven denitrification was split into two sequential steps ( $\text{NO}_3^- \rightarrow \text{NO}_2^-$  and  $\text{NO}_2^- \rightarrow \text{N}_2$ ), to account for a potential leakage of  $\text{NO}_2^-$  that may be fueling other  $\text{NO}_2^-$ -consuming reactions such as anammox (3). Nitrite rarely accumulates in the considered depth range and is frequently below the detection limit; however, it was included in the SMF model because it is an important (and potentially limiting) intermediate metabolite. Metabolites formally appearing in the reactions but not expected to limit any reaction (e.g.,  $\text{H}^+$  or  $\text{H}_2\text{O}$ ) were not included in the SMF model.

The hotspot locations  $z_h$  and reaction rates  $R_{hr}$  in the Cariaco SMF model were predicted at 29 regularly spaced discrete time points during the years 2001–2014, according to the SMF assumptions, as follows. For any given hotspot locations  $z_h$  (position along the water column) and reaction rates  $R_{hr}$  ( $\text{mol} \cdot \text{m}^{-2} \cdot \text{d}^{-1}$ ), the corresponding steady-state metabolite concentration profiles ( $C_m$ ) were calculated for the depth interval 180–900 m and using fixed-concentration (also known as “Dirichlet”) boundary conditions. Our model accounted for geometric dilution effects due to variation of the basin area with depth, by using an appropriately modified diffusion–advection equation (SI Appendix, section S.3) and basin area estimates reported by Samodurov et al. (90) (SI Appendix, Fig. S3). For each time point, boundary values of  $C_m$  were taken from the chemical concentration time series at the top (180 m) and bottom (900 m) boundaries. Explicit formulas for the Green’s functions  $G_m$  and reactionless profiles  $C_m^0$ , introduced in Eq. 3, are provided in SI Appendix, section S.1. Integrals over depth were calculated using the trapezoid rule, after discretizing the depth range into a regular grid of 200 points. Green’s functions were calculated for each point on that grid using explicit formulas (SI Appendix, section S.1); for hotspot locations ( $z_h$ ) between grid points, Green’s functions were linearly interpolated.

For any given time point, hotspot locations  $z_h$  and reaction rates  $R_{hr}$  were determined by minimizing the following stress function:

$$f((z_h)_{hr}, (R_{hr})_{hr}) = \mathbb{E}_r \left[ \mathbb{E}_z \left[ \bigotimes_{m \in L_r} C_m^2(z) \right] \right]^{\frac{1}{2}} \quad [14]$$

Here,  $h$  iterates over all hotspots,  $r$  iterates over all reactions,  $\mathbb{E}_r$  denotes the arithmetic average over all reactions,  $\mathbb{E}_z$  denotes the arithmetic average over all depths,  $L_r$  is the set of substrates (reactants) for reaction  $r$ ,  $C_m(z)$  are the predicted steady-state metabolite concentrations (in  $\mu\text{M}$ ) and  $\bigotimes$  denotes the geometric mean over a reaction’s substrates. The right-hand side of Eq. 14 penalizes nonzero concentrations of limiting substrates for each reaction; the term is equal to zero if at every location and for each reaction at least one substrate of the reaction has zero concentration. Note that this stress function is in units of  $\mu\text{M}$  and roughly corresponds to the geometric-mean concentration of limiting substrates for each reaction, averaged over all depths and all reactions. This stress function depends on all substrates and is differentiable with respect to  $z_h$  and  $R_{hr}$ , thus allowing the use of standard numeric minimization algorithms. We mention that in other systems where concentrations vary by several orders of magnitude between metabolites, the concentrations  $C_m$  may need to be appropriately rescaled within the stress function to ensure that terms corresponding to different metabolites are all within comparable scales.

Separately for each considered time point, we minimized the stress function  $f$  by iteratively varying the  $z_h$  and  $R_{hr}$  under the constraint that all  $C_m$  must be nonnegative, using the MATLAB function `fmincon` (108). To avoid nonglobal local minima, for each time point we repeated the minimization process 500 times while randomly varying the starting values for  $z_h$  and  $R_{hr}$  (each time obtaining a separate prediction for the  $z_h$  and  $R_{hr}$ ) and kept the prediction from the repeat achieving the lowest stress. The average stress value across all time points was  $0.24 \mu\text{M}$ , which is well below the average oxygen, sulfide, nitrate, and ammonium concentrations in the system, meaning that SMF assumption *iv* was almost exactly satisfied.

**Code Availability.** MATLAB code implementing the SMF framework, as well as demonstration code for the Cariaco Basin and other scenarios, is available at <http://www.loucalab.com/archive/CariacoMetabolic>. The code can handle an arbitrary number of metabolites, reactions, and hotspots; separate diffusion coefficients and advection speeds for each metabolite (each of which can vary with depth); and optional constraints on hotspot locations and/or individual reaction rates; as well as various combinations of boundary conditions (fixed concentration and/or fixed flux). The code can account for variation of the lateral (cross-sectional) area with depth and can optionally take into account available depth-profile data to improve predictive accuracy (the latter functionality was not applied in the present study, since the profile data were used for a posteriori model validation).

**Data Availability.** Raw data used in this article are publicly available at the Cariaco Basin Time Series project website (<http://www.imars.usf.edu/cariaco>). Alternative sources of Cariaco time series data are the NOAA National Centers for Environmental Information (NCEI), the Ocean Carbon Data System, the US Biological and Chemical Oceanography Data Management Office (BCO-DMO), and the NASA SeaBASS database.

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